

FRAZIER SANDRA ANNA  
Form SC 13G  
February 12, 2003

OMB APPROVAL
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

BROWN-FORMAN CORPORATION

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(Name of Issuer)

Class A Common Stock

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(Title of Class of Securities)

115637-10-0

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(Cusip Number)

Thomas E. Rutledge  
Ogden Newell & Welch PLLC  
1700 PNC Plaza  
500 West Jefferson Street  
Louisville, Kentucky 40202  
(502) 582-1601

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(Name, Address and Telephone Number of Person  
Authorized to Receive Notices and Communications)

January 1, 2003

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(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1 (b)

Rule 13d-1 (c)

Rule 13d-1 (d)

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\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the notes).

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CUSIP No. 115637-10-0

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1. Name of Reporting Person: Sandra Anne Frazier  
I.R.S. Identification Nos. of above persons (entities only): 400-04-9829

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2. Check the Appropriate Box if a Member of a Group (See Instructions):

(a)

(b)

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3. SEC Use Only:

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4. Citizenship or Place of Organization:  
United States of America

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Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With

5. Sole Voting Power:  
6,728

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6. Shared Voting Power:  
2,116,314

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7. Sole Dispositive Power:  
6,728

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8. Shared Dispositive Power:  
2,116,314

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9. Aggregate Amount Beneficially Owned by Each Reporting Person:  
2,123,042

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10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions):

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11.

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Percent of Class Represented by Amount in Row (9):  
7.35%

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12. Type of Reporting Person (See Instructions):  
IN

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**Item 1.**

- (a) Name of Issuer:  
Brown-Forman Corporation
- (b) Address of Issuer's Principal Executive Offices:  
850 Dixie Highway  
Louisville, Kentucky 40210

**Item 2.**

- (a) Name of Person Filing:  
Sandra Anne Frazier
- (b) Address of Principal Business Office or, if none, Residence:  
149 Beacon Street, Apt. 5  
Boston, Massachusetts 02116
- (c) Citizenship:  
United States of America
- (d) Title of Class of Securities:  
Brown-Forman Corporation Class A Common Stock
- (e) CUSIP Number:  
0115637-10-0

**Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a)  Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b)  Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c)  Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d)  Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e)  An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f)  An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g)  A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h)  A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)  A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

- (j) o Group, in accordance with §240.13d-1(b)(1)(ii)(J).

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**Item 4. Ownership.**

- (a) Amount beneficially owned:  
2,123,042
- (b) Percent of class:  
7.35%
- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote:  
6,728
  - (ii) Shared power to vote or to direct the vote:  
2,116,314
  - (iii) Sole power to dispose or to direct the disposition of:  
6,728
  - (iv) Shared power to dispose or to direct the disposition of:  
2,116,314

*Instruction.* For computations regarding securities which represent a right to acquire an underlying security *see* §240.13d-3(d)(1).

On May 3, 2002, the undersigned entered into a share exchange agreement with a trust (of which she is a beneficiary) pursuant to which she surrendered 160,000 shares of Brown-Forman Corporation Class A (voting) common stock and received Class B (non-voting) common stock of Brown-Forman Corporation. The change in beneficial ownership resulting from that exchange was less than a 1% change from the beneficial ownership reported on Amendment 3 to Schedule 13D filed in February 2002.

The undersigned is filing Schedule 13G rather than an amendment to Schedule 13D because she is the beneficial owner of less than 20% of Brown-Forman Corporation Class A (voting) common stock.

**Item 5. Ownership of Five Percent or Less of a Class.**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following o.*Instruction:* Dissolution of a group requires a response to this item. **Item 6. Ownership of More than Five Percent on Behalf of Another Person.** The undersigned, as a member of the Advisory Committee to a trust created by her grandmother, Amelia Brown Frazier, shares voting and disposal powers over 2,116,314 shares of Brown-Forman Corporation Class A common stock. The two other Advisory Committee members are Robinson S. Brown, Jr., 5208 Avish Lane, Louisville, Kentucky 40027, retired, former Chairman of the Board of Brown-Forman Corporation, and Owsley B. Frazier, Brown-Forman Corporation, 4938 Brownsboro Road, Suite 200, Louisville, Kentucky 40222, retired, former Vice Chairman, Brown-Forman Corporation. Neither Mr. Brown nor Ms. Frazier has been convicted of a criminal offense or been found liable in a civil action involving securities laws in the last five years. Both Mr. Brown and Mr. Frazier are United States citizens. **Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.** N/A **Item 8. Identification and Classification of Members of the Group.** N/A **Item 9. Notice of Dissolution of Group.** N/A



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**Item 10. Certification.**

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 11, 2003

By: /s/

\_\_\_\_\_

Sandra Anne

Name: Frazier

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Title:

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