Express Scripts Holding Co.

Form 3

May 06, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Neville Everett

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

05/06/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Express Scripts Holding Co. [ESRX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

Senior VP, Supply Chain

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O EXPRESS SCRIPTS HOLDING COMPANY, ONE **EXPRESS WAY**

1. Title of Security

(Instr. 4)

(Street)

Director _X__ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

ST. LOUIS. MOÂ 63121

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Â Common Stock 10,544 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

Ownership

(Instr. 5)

Price of Derivative Derivative Security:

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------|--------------------|---|----------------------------------|---------------|--|---|
| Non-Qualified Stock Option (Right-to-Buy) | (1) | 03/06/2020 | Express Scripts Holding Company Common Stock | 2,237 | \$ 58.17 | D | Â |
| Non-Qualified Stock Option (Right-to-Buy) | (2) | 03/05/2021 | Express Scripts Holding Company Common Stock | 9,387 | \$ 77.15 | D | Â |
| Non-Qualified Stock Option (Right-to-Buy) | (3) | 03/04/2025 | Express Scripts Holding Company Common Stock | 9,121 | \$ 84.83 | D | Â |
| Phantom Stock Unit | (4) | (4) | Express Scripts Holding Company Common Stock | 429.71 | \$ <u>(4)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|-------------------------------|-------|--|
| • | Director | 10% Owner | Officer | Other | |
| Neville Everett C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS, MO 63121 | Â | Â | Senior VP, Supply Chain | Â | |

Signatures

/s/ Everett
Neville

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Shares reflected herein vest on February 28, 2016.
- Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. The unvested portion of this award (6,258 stock options) vests in two equal installments on February 28, 2016 and February 28, 2017.
- Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2016, February 28, 2017, and February 28, 2018.
- Phantom Stock Units credited under the Company's Executive Deferred Compensation Plan. All company credits to the participant's account vest in three (3) years after the plan year to which a particular credit relates. Upon distribution each Phantom Stock Unit converts to one share of the Company's Common Stock.

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Remarks:

Exhibit List - Exhibit 24 - Power of Attorney for Everett Neville.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.