## Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

## AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4 July 10, 2002

FORM 4

[ ] Check box if no longer subject to Section 16. Form
4 or Form 5 obligations may
continue. See Instruction 1(b).

U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of Holding Company Act of 1935 or Section 30(f) of the Investment Company Ac

Dauch	Richard	E.	(NYSE-A)		rianurac	turing Hold			    -
(Last)	(First)	(Middle)	3. IRS Identification   4. Statement for   Number of   Month/Year   Reporting Person,					     	
c/o American Axle & Manufacturing Holdings, Inc. 1840 Holbrook Avenue			Reporting Ferson,     if an Entity     (Voluntary)			6/02			   Co-H   Dire
	(Street)		·      		   5 	. If Amenda Date of (	Origina	al	7. Ir   (0
Detroit	MI	48212	<u> </u>		İ				·
(City)	(State)	(Zip)							I
* If the fo	orm is filled by	y more than one	reporting per	 rson, see	 e instru 	ction 4(b)	(v).		
		TABLE I - NC	N-DERIVATIVE	SECURIT	IES ACQU	IRED, DISPO	OSED O	F, OR	BENEF
1. Title of Security (Instr. 3)									
	_	2.     	Transaction Date	Code		or Disp	posed	of (D)	
	_	2.           		Code	е	or Disp   (Instr   	posed	of (D)	
(Instr.	3)	           	(Month/Day/ Year) 6/14/02	Code   (Ins       Code 	e str. 8)        V 	or Disp   (Instr       Amount    2,138,185	osed () 3, 4 () (A) () or () (D) () () A	of (D) and 5 	
(Instr.	3) Ck	           	Date  (Month/Day/ Year)	Code   (Ins       Code 	e str. 8)        V 	or Disp   (Instr 	(A)   or   (D)     A	of (D) and 5 	
(Instr.	3) Ck	           	(Month/Day/ Year) 	Code   (Ins       Code 	e str. 8)        V 	or Disp   (Instr 	(A)   or   (D)     A	of (D) and 5 	
(Instr.	3) Ck	           	(Month/Day/ Year) 	Code   (Ins       Code 	e str. 8)        V 	or Disp   (Instr 	(A)   or   (D)     A	of (D) and 5 	
(Instr.	3) Ck	           	(Month/Day/ Year) 	Code   (Ins       Code 	e str. 8)        V 	or Disp   (Instr 	(A)   or   (D)     A	of (D) and 5 	

## Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4 FORM 4 (CONTINUED) TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALI (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES) 1. Title of Derivative | 2. Conver- |3. Trans- |4. Trans- |5. Number of |6. Date Exer-Security | sion or | action | action | Derivative | cisable and (Instr. 3) | Exercise | Date | Code | Securities Ac- | Expiration Price of | (Month/ | (Instr. 8) | quired (A) or | Date Deriv- | Day/ | Disposed of (D) | (Month/Day/ ative | Year) | (Instr. 3, 4, | Year) | and 5) Security | |Date |Expira-|-----|Exer- |tion | Code |V | (A) |(D) |cisable|Date 9. Number of | 10. Ownership | 11. Nature of Derivative | Form of | Indirect Securities | Derivative | Beneficial Beneficially | Security: | Ownership Owned at End | Direct (D) | (Instr. 4) Beneficial of Month | or Indirect (I) | (Instr. 4) | or Indirect (I) |

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Explanation	of Resp	onses:			

(1) In June 2002, the reporting person completed the transfer of 2,138,185 shares of common stock from the Dauch Annuity Trust 2001 to the Sandra J. Dauch Gift Trust. The reporting person is trustee of the Dauch Annuity Trust 2001, Dauch Annuity Trust 2004 and Dauch Annuity Trust 2007. The reporting person's spouse is trustee of the Sandra J. Dauch Gift Trust.

There was no change in the reporting person's beneficial ownership as a result of these transfers. After the transfers referenced above, the Dauch Annuity Trust 2004 and Dauch Annuity Trust 2007 hold 3,619,834 shares of common stock. An additional 2,185,115 shares of common stock is held by the Sandra J. Dauch Gift Trust.

/s/ Patrick S. Lancaster	7/10/02
Signature of Reporting Person	Date
Attorney in fact	

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print or Type Responses)