## Edgar Filing: FIRST ALBANY COMPANIES INC - Form 8-K

# FIRST ALBANY COMPANIES INC

Form 8-K March 06, 2007

\_\_\_\_\_\_

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

Current Report
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported) - March 6, 2007

677 BROADWAY, ALBANY, NEW YORK 12207
-----(Address of Principal Executive Offices) (Zip Code)

(Registrant's telephone number, including area code): (518) 447-8500

N/A
-----(Former name and former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

- |\_| Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- $|\_|$  Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- <code>|\_|</code> Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- |\_| Pre-commencement communications pursuant to Rule 13e-4(c) under the

### Edgar Filing: FIRST ALBANY COMPANIES INC - Form 8-K

Exchange Act (17 CFR 240.13e-4(c))

\_\_\_\_\_\_

ITEM 8.01 OTHER EVENTS.

On March 6, 2007, First Albany Companies Inc. (the "Company") issued a press release regarding the entry into an asset purchase agreement for the sale of the Municipal Capital Markets Group of First Albany Capital Inc., a wholly owned subsidiary of the Company, to DEPFA BANK plc. A copy of the press release is furnished with this Current Report on Form 8-K as exhibit 99.1.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS.

- (d) Exhibits
- 99.1 Press Release of First Albany Companies Inc. dated March 6, 2007

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FIRST ALBANY COMPANIES INC.

By: /s/ C. BRIAN COAD

-----

Name: C. Brian Coad

Title: Chief Financial Officer

Dated: March 6, 2007