## Edgar Filing: SOUTHWEST AIRLINES CO - Form 8-K

## SOUTHWEST AIRLINES CO Form 8-K April 15, 2004

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

Current Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 15, 2004

SOUTHWEST AIRLINES CO. (Exact name of registrant as specified in its charter)

TEXAS (State or other jurisdiction of incorporation)

1-7259 74-1563240 (Commission File Number) (IRS Employer Identification No.)

P. O. Box 36611, Dallas, Texas 75235-1611 (Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code (214) 792-4000

 $$\mathrm{N/A}$$  (Former name or former address, if changed since last report)

Item 12. Results of Operations and Financial Condition.

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On April 15, 2004, the Registrant issued a press release announcing its financial results for the first quarter of 2004. The press release is furnished herewith as Exhibit 99.1 and is incorporated herein by reference.

The information furnished in this Item 12 shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall such information be deemed incorporated by reference in any filing under the Securities Exchange Act of 1933, as amended, regardless of any general incorporation language in such filing, except as shall be expressly set forth by specific reference in such filing.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

SOUTHWEST AIRLINES CO. (Registrant)

By: /s/ Gary C. Kelly
Gary C. Kelly
Executive Vice President Chief Financial Officer

Date: April 15, 2004

INDEX TO EXHIBITS

Exhibit

No. Exhibit

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99.1 Registrant's First Quarter 2004 Earnings Release.

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1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FRAZIER A D JR Symbol APACHE CORP [APA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director Officer (give title 2000 POST OAK BLVD., SUITE Other (specify 08/25/2014 below) below) 100 Director (Street) 6. Individual or Joint/Group Filing(Check 4. If Amendment, Date Original Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_\_ Form filed by More than One Reporting HOUSTON, TX 77056 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) TransactionAcquired (A) or Securities Form: Direct Indirect Execution Date, if (D) or Indirect Beneficial (Instr. 3) Code Disposed of (D) Beneficially any (Month/Day/Year) (Instr. 3, 4 and 5) (Instr. 8) Owned (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<ol> <li>Title of Derivative</li> </ol>	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Title and A Underlying S		8. Price of Derivative
Security (Instr. 3)	or Exercise Price of Derivative Security	(Monumbay, Tear)	any (Month/Day/Year)	TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		(Month/Day, re s		(Instr. 3 and 4		Security (Instr. 5)
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount	

Number of Shares

Phantom

Common \$ 99.82 Stock \$ 0 (1) 08/25/2014 J 1 (2) (2) 1 Stock Units (1)

**Reporting Owners** 

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

FRAZIER A D JR

2000 POST OAK BLVD. X Director SUITE 100

HOUSTON, TX 77056

**Signatures** 

Cheri L. Peper, 08/25/2014 Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One share of Apache common stock for each phantom stock unit.
- Exempt acquisition pursuant to Rule 16b-3(d). Accrued under the deferred compensation provisions of Apache's 2011 Omnibus Equity Compensation Plan (directors' deferral program) - effective as of 08/22/2014. Data provided by the plan administrator on 08/25/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4