SAMLYN CAPITAL, LLC Form 4/A

January 04, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287

Expires:

**OMB** 

Number:

January 31, 2005

0.5

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**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Last)

FLOOR,

1.Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SAMLYN CAPITAL, LLC

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Applied Minerals, Inc. [AMNL]

3. Date of Earliest Transaction (Month/Day/Year)

04/30/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title

below)

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

05/02/2018

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

NEW YORK, NY 10022

500 PARK AVENUE, 2ND

(City) (State) (Zip)

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Price

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amoun Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Underlying Securit Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) Disposed of (Instr. 3, 4, a 5)	(D)			
				Code V	(A)	(D) Date Exercisable	Expiration Date	Title	An Nu Sh
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.12 (1)	04/30/2018		A	129,514 (1)	<u>(2)</u>	04/29/2023	COMMON STOCK	12
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.12 (1)	04/30/2018		A	0	(2)	04/29/2023	COMMON STOCK	
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.12 (1)	04/30/2018		A	44,097 (1)	<u>(2)</u>	04/29/2023	COMMON STOCK	4
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.12 (1)	04/30/2018		A	0	(2)	04/29/2023	COMMON STOCK	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
1	Director	10% Owner	Officer	Other
SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022	X	X		
Samlyn Partners, LLC C/O SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022		X		
SAMLYN ONSHORE FUND, LP C/O SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR		X		

Reporting Owners 2

NEW YORK, NY 10022

Samlyn Offshore Master Fund, Ltd. C/O INTERTRUST CORP SVCS (CAYMAN) LTD 190 ELGIN AVENUE, GEORGE TOWN GRAND CAYMAN, E9 KY1-9007

X

POHLY ROBERT C/O SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022

X

# **Signatures**

Samlyn Capital, LLC, By: Samlyn, LP, its sole member, By: Samlyn GP, LLC, its general partner, By: /s/ Robert Pohly, Managing Member	01/04/2019
**Signature of Reporting Person	Date
Samlyn Partners, LLC, By: /s/ Robert Pohly, Managing Member	01/04/2019
**Signature of Reporting Person	Date
Samlyn Onshore Fund, LP, By: Samlyn Partners, LLC, its general partner, By: /s/ Robert Pohly, Managing Member	01/04/2019
**Signature of Reporting Person	Date
Samlyn Offshore Master Fund, Ltd., By: /s/ Robert Pohly, Director	01/04/2019
**Signature of Reporting Person	Date
/s/ Robert Pohly	01/04/2019
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 4 amendment corrects the strike price and the number of shares subject to the stock option as a result of Applied Minerals, Inc. correcting and replacing the stock option originally issued on April 30, 2018.
- (2) These stock options are currently exercisable.
- (3) The reported securities are directly owned by Samlyn Offshore Master Fund, Ltd. ("Samlyn Offshore Master Fund").
  - The reported securities are directly owned by Samlyn Offshore Master Fund, and may be deemed to be indirectly beneficially owned by Samlyn Capital, LLC ("Samlyn Capital"), as the investment manager of Samlyn Offshore Master Fund. The reported securities may also
- (4) be deemed to be indirectly beneficially owned by Robert Pohly as the principal of Samlyn Capital and Director of Samlyn Offshore Master Fund. Samlyn Capital and Robert Pohly disclaim beneficial ownership of the reported securities except to the extent of their respective pecuniary interests therein, and this report shall not be deemed an admission that either of them are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or for any other purpose.
- (5) The reported securities are directly owned by Samlyn Onshore Fund, LP ("Samlyn Onshore Fund").
  - The reported securities are directly owned by Samlyn Onshore Fund, and may be deemed to be indirectly beneficially owned by (i) Samlyn Capital, as the investment manager of Samlyn Onshore Fund, and (ii) Samlyn Partners, LLC ("Samlyn Partners"), as the general partner of Samlyn Onshore Fund. The reported securities may also be deemed to be indirectly beneficially owned by Robert Pohly as the
- (6) principal of Samlyn Capital and Managing Member of Samlyn Partners. Samlyn Capital, Samlyn Partners and Robert Pohly disclaim beneficial ownership of the reported securities except to the extent of their respective pecuniary interests therein, and this report shall not be deemed an admission that any of them are the beneficial owners of the securities for purposes of Section 16 of the Exchange Act or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Signatures 3

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