Edgar Filing: Halak Brian K - Form 4/A

Form 4/A November (
FORM	ЛЛ									APPROVAL	
	UNITED	STATES S					NGE C	OMMISSION	OIIID	3235-0287	
Check the check the check the check the check of the chec	nger STATE N 16.	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: January 31 Expires: 2009 Estimated average burden hours per	
Form 5 obligation may cor <i>See</i> Inst 1(b).	Filed pu ons ttinue. Section 17	(a) of the Pu		Ho	lding Con	ipany	Act of	e Act of 1934, 1935 or Sectio 0	response. n	0.5	
(Print or Type	Responses)										
1. Name and A Halak Bria	Sy D	2. Issuer Name and Ticker or Trading Symbol Dicerna Pharmaceuticals Inc [DRNA]						nship of Reporting Person(s) to (Check all applicable)			
	AIN ASSOCIATI CARNEGIE CEN	(M ES, 10	Date of Earli Ionth/Day/Y D/30/2018		Fransaction			Director Officer (give below)		0% Owner ther (specify	
PRINCET	(Street) DN, NJ 08540	Fi	If Amendme led(Month/Da 1/01/2018		-			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N Person	One Reporting	Person	
(City)	(State)	(Zip)	Table I - I	Non-	-Derivative (Securi	ities Aca	uired, Disposed of	f. or Benefici	ally Owned	
1.Title of Security (Instr. 3)		on Date 2A. Deemed /Year) Execution Date, if any (Month/Day/Year)				es Acqu d of (E	uired (A)))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/30/2018		Code S	V	Amount 152,364	(D) D	Price \$ 13.68 (1)	3,327,511	Ι	By Domain Partners VIII, L.P. (2)	
Common Stock	10/30/2018		S		1,136	D	\$ 13.68 (1)	24,674	Ι	By DP VIII Associates, L.P. <u>(2)</u>	
Common Stock	10/30/2018		S		90,918	D	\$ 14.31 (3)	3,236,593	Ι	By Domain Partners VIII, L.P. (2)	

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Common Stock	10/30/2018	S	678	D	\$ 14.31 (<u>3)</u>	23,996	Ι	By DP VIII Associates, L.P. <u>(2)</u>
Common Stock	10/31/2018	S	128,670	D	\$ 13.6 (4)	3,107,923	I	By Domain Partners VIII, L.P. (2)
Common Stock	10/31/2018	S	959	D	\$ 13.6 (4)	23,037	Ι	By DP VIII Associates, L.P. (2)
Common Stock	11/01/2018	S	188,867 (6)	D	\$ 13.37 (5)	2,919,056	Ι	By Domain Partners VIII, L.P. <u>(2)</u>
Common Stock	11/01/2018	S	1,408	D	\$ 13.37 (5)	21,629	Ι	By DP VIII Associates, L.P. ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. Mumber	6. Date Exerce Expiration Da		7. Title Amour		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		(Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

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Halak Brian K C/O DOMAIN ASSOCIATES, LLC 202 CARNEGIE CENTER, SUITE 104

Reporting Owners

PRINCETON, NJ 08540

Signatures

/s/ Lisa A. Kraeutler, Attorney-in-Fact 11/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.26 to \$14.2499. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and

(1) B \$14.2499. The Reporting Ferson undertakes to provide to the issuer, any security holder of the issuer of the security holder of the security

The Reporting Person is a Managing Member of One Palmer Square Associates VIII, LLC, which is the sole general partner of Domain Partners VIII, L.P. and DP VIII Associates, L.P. Pursuant to Instruction (4)(b)(iv) of Form 4, the Reporting Person has elected to report as

(2) indirectly beneficially owned the entire number of securities beneficially owned by each such entity. The Reporting Person disclaims beneficial ownership of any securities, and any proceeds thereof, that exceed his pecuniary interest therein and/or that are not actually distributed to him.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.25 to \$14.46. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and

(3) Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.25 to \$14.19. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and

(4) The Reporting reason undertakes to provide to the issuer, any security holder of the issuer of the securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.04 to \$13.59. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and

- (5) The reporting retion undertaces to provide to the issuer, any security holder of the issuer of the issuer of the security inder of the issuer of the issuer of the security inder of the issuer of the issuer
- (6) This amendment is being filed solely to correct a typographical error in this share number as reported in the Form 4 filed on November 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.