### Edgar Filing: CAP III CO-INVESTMENT, L.P. - Form 3

CAP III CO-INVESTMENT, L.P. Form 3 April 20, 2018 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB

### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person \* Statement Seaspan CORP [SSW] TC Group Cayman Investment (Month/Day/Year) Holdings, L.P. 03/13/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O WALKERS, CAYMAN (Check all applicable) CORPORATE CENTER. 27 HOSPITAL ROAD \_X\_ 10% Owner Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Form filed by One Reporting Person GEORGE TOWN GRAND \_X\_ Form filed by More than One CAYMAN. E9Â KY1-9008 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1.Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) See footnotes (1) (2) (3) (4)Series D Preferred Shares Ι 1,869,200 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>6. Nature of Indirect</li></ol>
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

(Instr. 4)

owned directly or indirectly.

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

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		(Instr. 4)		Price of	Derivative
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
TC Group Cayman Investment Holdings, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
TC Group Cayman Investment Holdings Sub L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
CP V General Partner, L.L.C. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
TC Group V Cayman, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
CP V Coinvestment A Cayman, LP C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
CP V Coinvestment B Cayman, LP C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
CAP III, L.L.C. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	ÂX	Â	Â		
CAP III General Partner, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, E9 KY1-9008	Â	X	Â	Â		
CAP III CO-INVESTMENT, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN	Â	ÂX	Â	Â		

## Signatures

TC GROUP CAYMAN INVESTMENT HOLDINGS, L.P, By: Carlyle Holdings II L.P., its general partner, By: /s/ William E. Conway, Jr., Name: William E. Conway, Jr., Title: Co-Executive Chairman & Co-Chief Investment Officer	04/20/2018	
**Signature of Reporting Person	Date	
TC GROUP CAYMAN INVESTMENT HOLDINGS SUB L.P., By: TC Group Cayman Investment Holdings, L.P., its general partner, By: Carlyle Holdings II L.P., its general partner, By: /s/ William E. Conway, Jr., Title: Co-Executive Chairman & Co-CIO	04/20/2018	
**Signature of Reporting Person	Date	
CP V General Partner, L.L.C., By: /s/ William E. Conway, Jr., Name: William E. Conway, Jr., Title: Co-Executive Chairman & Co-Chief Investment Officer	04/20/2018	
**Signature of Reporting Person	Date	
TC Group V Cayman, L.P., By: /s/ Jeremy W. Anderson, Name: Jeremy W. Anderson, Title: Authorized Person	04/20/2018	
**Signature of Reporting Person	Date	
CP V Coinvestment A Cayman, L.P., By: TC Group V Cayman, L.P., its general partner, By: /s/ Jeremy W. Anderson, Name: Jeremy W. Anderson, Title: Authorized Person		
**Signature of Reporting Person	Date	
CP V Coinvestment B Cayman, L.P., By: TC Group V Cayman, L.P., its general partner, By: /s/ Jeremy W. Anderson, Name: Jeremy W. Anderson, Title: Authorized Person	04/20/2018	
**Signature of Reporting Person	Date	
CAP III, L.L.C., By: TC Group Cayman Investment Holdings Sub L.P., By: TC Group Cayman Investment Holdings, L.P., its general partner, By: Carlyle Holdings II L.P., its general partner, By: /s/ William E. Conway, Jr.	04/20/2018	
**Signature of Reporting Person	Date	
CAP III General Partner, L.P., By: /s/ Norma R. Kuntz, Name: Norma R. Kuntz, Title: Authorized Person	04/20/2018	
**Signature of Reporting Person	Date	
CAP III Co-Investment, L.P., By: CAP III General Partner, L.P., its general partner, By: /s/ Norma R. Kuntz, Name: Norma R. Kuntz, Title: Authorized Person		
<u>**</u> Signature of Reporting Person	Date	

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes: (i) 47,028 shares of Series D Preferred Shares held by CP V Coinvestment A Cayman, L.P.; (ii) 9,628 shares of Series D Preferred Shares held by CP V Coinvestment B Cayman, L.P.; (iii) 17,423 shares of Series D Preferred Shares held by CAP III

(1) Co-Investment, L.P.; (iv) 1,363,936 shares of Series D Preferred Shares held by Carlyle Partners V Cayman TE, L.P.; (v) 356,417 shares of Series D Preferred Shares held by CAP III Maritime AIV, L.P.; and (vi) 74,768 shares of Series D Preferred Shares held by Carlyle-Eight Finance Asia Co-Investment Partners, L.P.

Carlyle Group Management L.L.C. is the general partner of The Carlyle Group L.P., which is a publicly traded entity listed on
(2) NASDAQ. The Carlyle Group L.P. is the managing member of each of Carlyle Holdings II GP L.L.C. and Carlyle Holdings III GP Management L.L.C.

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Carlyle Holdings II GP L.L.C. is the general partner of Carlyle Holdings II L.P., which is the general partner of TC Group Cayman Investment Holdings, L.P., which is the general partner of TC Group Cayman Investment Holdings Sub L.P., which is the sole member

(3) of each of CP V General Partner, L.L.C. and CAP III, L.L.C. CP V General Partner, L.L.C. is the general partner of TC Group V Cayman, L.P., which is the general partner of each of CP V Coinvestment A Cayman, L.P. and CP V Coinvestment B Cayman, L.P. CAP III, L.L.C. is the general partner of CAP III General Partner, L.P., which is the general partner of CAP III General Partner, L.P., which is the general partner of CAP III General Partner, L.P., which is the general partner of CAP III General Partner, L.P.

Carlyle Holdings III GP Management L.L.C. is the general partner of Carlyle Holdings III GP L.P., which is the sole member of Carlyle Holdings III GP Sub L.L.C., which is the general partner of Carlyle Holdings III L.P., which is the general partner of TC

(4) Group Cayman L.P., which is the general partner of TC Group Cayman Sub, L.P., which is the sole shareholder of each of CP V S3 GP, Ltd. and CAP III S3 Ltd. CP V S3 GP, Ltd. is the general partner of TC Group V Cayman S3, L.P., which is the general partner of Carlyle Partners V Cayman TE, L.P. CAP III S3 Ltd. is the general partner of CAP III General Partner S3, L.P., which is the general partner of each of CAP III Maritime AIV, L.P. and Carlyle-Eight Finance Asia Co-Investment Partners, L.P.

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### **Remarks:**

### Due to the limitations of the electronic filing system . Carlyle Group Management L.L.C., The Carl

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.