NexPoint Residential Trust, Inc.

Form 4

March 17, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Goetz Matthew

2. Issuer Name and Ticker or Trading

Symbol

NexPoint Residential Trust, Inc.

[NXRT]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

03/16/2016

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(City)

Director 10% Owner X\_ Officer (give title Other (specify

See Remarks

300 CRESCENT COURT, SUITE

(Street)

(State)

700

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

DALLAS, TX 75201

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

4,895 D

Common Stock

Common

Stock

By 401(k) 1,285.44 I plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
	Security			Code V	(Instr. 3, 4, and (A)	ŕ	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Contingent Bonus Award	<u>(1)</u>	03/16/2016		<u>J(1)</u>	2,956.081		<u>(1)</u>	<u>(1)</u>	Common Stock	2,956.0

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Goetz Matthew

300 CRESCENT COURT, SUITE 700 See Remarks

**DALLAS, TX 75201** 

## **Signatures**

/s/ Brian Mitts, as attorney-in-fact 03/17/2016

\*\*Signature of Reporting Person Dat

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Contingent Bonus Award is granted by Highland Capital Management, L.P. ("HCM") to the Reporting Person for service as an employee of HCM. Each share of the Contingent Bonus Award is the economic equivalent of one share of Issuer common stock. The shares become payable, in cash or common stock, on May 31, 2019. These awards will terminate if the Reporting Person's employment with HCM is terminated.

#### **Remarks:**

Senior VP-Investments and Asset Management

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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