REGAL BELOIT CORP

Form 4 May 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

may continue. See Instruction

05/02/2016

Stock

Stock

Common

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Avampato John Issuer Symbol REGAL BELOIT CORP [RBC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title Other (specify 200 STATE STREET 05/02/2016 below) VP & Chief Information Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **BELOIT, WI 53511** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Direct (D) Ownership (Instr. 8) Owned Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

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8,847

1,163 (1)

D

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\$

65.04

D

SEC 1474 (9-02)

Retirement

Savings

Plan

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year e			7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
			Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Appreciation Rights	\$ 44.12				05/01/2009(2)	05/01/2017	Common Stock	2,500	
Stock Appreciation Rights	\$ 42.28				05/02/2010(2)	05/02/2018	Common Stock	6,000	
Stock Appreciation Rights	\$ 42.65				05/08/2011(2)	05/08/2019	Common Stock	6,000	
Stock Appreciation Rights	\$ 61.36				05/05/2012(2)	05/05/2020	Common Stock	6,000	
Stock Appreciation Rights	\$ 72.29				05/04/2013(2)	05/04/2021	Common Stock	6,000	
Stock Appreciation Rights	\$ 63.56				05/03/2014(2)	05/03/2022	Common Stock	6,500	
Stock Appreciation Rights	\$ 64.99				05/02/2015(3)	05/02/2023	Common Stock	3,875	
Stock Appreciation Rights	\$ 75.76				05/07/2016 <u>(3)</u>	05/07/2024	Common Stock	3,600	
Stock Appreciation Rights	\$ 78.15				05/12/2017(3)	05/12/2025	Common Stock	4,500	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Avampato John VP & Chief 200 STATE STREET Information BELOIT, WI 53511 Officer

Signatures

/s/ Peter C. Underwood as Power of Attorney 05/04/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to holdings in the Regal Beloit Corporation Retirement Savings Plan.
- Granted as stock-settled Stock Appreciation Rigths (SARs) under the 2007 Equity Incentive Plan. The SARs vest and become exerciseable 40% on the second anniversary of the date of grant, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary.
- Granted as stock-settled SARs under the 2013 Equity Incentive Plan. The SARs vest and become exercisable 40% on the second anniversary of the date of grant, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary.

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