#### VALLEY NATIONAL BANCORP

Form 4 June 22, 2015

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

#### **OMB APPROVAL**

**OMB** 3235-0287 Number:

5. Relationship of Reporting Person(s) to

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box

if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

Washington, D.C. 20549

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| LIPKIN GERALD H                                 |                                    |                                    | Symbol                         |           |  |   |                             |  | Issuer   |   |           |  |
|---|------------------------------------|------------------------------------|--------------------------------|-----------|--|---|-----------------------------|--|--|---|-----------|--|
|   | VALLEY NATIONAL BANCORP [VLY]      |                                    |                                |           |  | ORP   | (Check all applicable)      |  |  |   |           |  |
| (Last)  | (First)                            | (Middle)                           | (Month/Da                      | ay/Year)  |  |   |                             |  | _X_ Director10% OwnerX_ Officer (give title Other (specify below)    |   |           |  |
| 1455 VALL                                       | 06/19/20                           | 06/19/2015                         |                                |           |  |   | Chairman, President and CEO |  |  |   |           |  |
|   | (Street)                           |                                    | 4. If Amendment, Date Original |           |  |   |                             | 6. Individual or Joint/Group Filing(Check                                    |  |   |           |  |
| WAYNE, N.                                       | Filed(Month/Day/Year)              |                                    |                                |           |  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |                             |  |  |   |           |  |
|   |                                    | (T)                                |                                |           |  |   |                             |  | Person   |   |           |  |
| (City)  | (State)                            | (Zip)                              | Table                          | e I - Non | ı-Do   | erivative S   | Securi                      | ties Ac  | quired, Disposed   | of, or Beneficia                                      | lly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)            | 2. Transaction D<br>(Month/Day/Yea | on Date, if TransactionA<br>Code D |                                |           | 4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |                             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |           |  |
|   |                                    |                                    |                                | Code      | V  | Amount  | (A)<br>or<br>(D)            | Price  | Transaction(s) (Instr. 3 and 4)                                      |   |           |  |
| Preferred<br>Stock (Roth<br>IRA)                | 06/19/2015                         |                                    |                                | P         |  | 1,200<br>(1)  | A                           | \$ 25  | 1,200  | D   |           |  |
| Preferred<br>Stock (Roth<br>IRA with<br>Spouse) | 06/19/2015                         |                                    |                                | P         |  | 2,750<br>(1)  | A                           | \$ 25  | 2,750  | I   | Wife      |  |
| Preferred<br>Stock                              | 06/19/2015                         |                                    |                                | P         |  | 2,000<br>(1)  | A                           | \$ 25  | 2,000  | I   | Wife      |  |
| Common<br>Stock                                 |                                    |                                    |                                |           |  |   |                             |  | 324,760  | I   | Wife      |  |

## Edgar Filing: VALLEY NATIONAL BANCORP - Form 4

| Common<br>Stock                    | 499,368 | D |                      |
|------------------------------------|---------|---|----------------------|
| Common<br>Stock (401k<br>Plan) (2) | 51      | D |                      |
| Common<br>Stock                    | 6,946   | I | Spouse -<br>Roth IRA |
| Common<br>Stock (Roth<br>IRA)      | 68,889  | D |                      |
| Common<br>Stock (with<br>Spouse)   | 154     | D |                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option/NQ                                  | \$ 11.91  |                                      |   |  |   | 11/15/2011   | 11/15/2020         | Common<br>Stock   | 44,016                              |
| Stock<br>Options                                    | \$ 17.23  |                                      |   |  |   | 02/15/2007   | 02/15/2016         | Common<br>Stock   | 35,178                              |
| Stock<br>Options                                    | \$ 18.1   |                                      |   |  |   | 02/26/2005   | 02/26/2014         | Common<br>Stock   | 31,026                              |
| Stock<br>Options                                    | \$ 19.36  |                                      |   |  |   | 02/13/2008   | 02/13/2017         | Common<br>Stock   | 46,904                              |
| Stock<br>Options                                    | \$ 14.65  |                                      |   |  |   | 02/12/2009   | 02/12/2018         | Common<br>Stock   | 44,671                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LIPKIN GERALD H

1455 VALLEY ROAD X Chairman, President and CEO

WAYNE, NJ 07470-

**Signatures** 

/s/ GERALD H. LIPKIN 06/22/2015

\*\*Signature of Reporting Date

Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchase of Valley National Bancorp Series A Non-Cumulative Perpetual Preferred Stock in connection with Valley's public offering.
- (2) Holdings under the Valley 401K Plan has been updated to reflect reporting person's balance in the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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