

Edgar Filing: SMITH RICHARD J - Form U-12-IB

SMITH RICHARD J
Form U-12-IB
January 29, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM U-12(I)-B (ANNUAL STATEMENT)
Calendar Year 2004

STATEMENT PURSUANT TO SECTION 12(I) OF PUBLIC UTILITY HOLDING COMPANY ACT OF 1935 BY A PERSON
REGULARLY EMPLOYED OR RETAINED BY A REGISTERED HOLDING COMPANY OR A SUBSIDIARY THEREOF
AND WHOSE EMPLOYMENT CONTEMPLATES ONLY ROUTINE EXPENSES AS SPECIFIED IN RULE U-71(b)

(To be filed in DUPLICATE. If acknowledgment is desired, file in triplicate.)

1. Name and business address of person filing statement.

Richard J. Smith, 639 Loyola Ave., New Orleans, LA 70113

2. Names and business addresses of any persons through whom the undersigned proposes to act in matters included within the exemption provided by paragraph (b) of Rule U-71.

None

3. Registered holding companies and subsidiary companies by which the undersigned is regularly employed or retained.

Refer to companies listed in Item 4

4. Position or relationship in which the undersigned is employed or retained by each of the companies named in Item 3, and brief description of nature of services to be rendered in each such position or relationship.

Group President, Utility Operations of Entergy Corporation

Director and Group President, Utility Operations of Entergy Corporation, Entergy Services, Inc., Entergy Arkansas, Inc., Entergy Gulf States, Inc., Entergy Louisiana, Inc., Entergy Mississippi, Inc., Entergy New Orleans, Inc.

Group President and Chief Utility Operating Officer of Entergy Charitable Foundation

My duties may include, from time to time, presenting, advocating or opposing matters affecting Entergy Corporation and its subsidiary companies before Congress and members and committees thereof, and before this Commission and the Federal Energy Regulatory Commission and members, officers and employees of such Commissions.

The services required to be described in this form under Section 12(i) of the Public Utility Holding Company Act of 1935 are incidental to the undersigned's primary and principal duties and, although such services vary in extent from time to time, they represent overall only a comparatively minor portion of the total services rendered

- 5(a) Compensation received during the prior year and to be received during the calendar year by the undersigned or others, directly or indirectly, for services rendered by the undersigned, from each of the companies designated in Item 3. (Use column (a) as supplementary statement only.)

Name of Recipient	During Prior Year	Salary or other compensation		Person or company from whom received or to be received
		To be received		
Richard J. Smith	(a) <u>\$-0-</u>	(b) <u>\$-0-</u>		Entergy Services, Inc.

- 5(b) Basis for compensation if other than salary.

- 6.

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*(To be
answered in
supplementary
statement only.
See
instructions.)*

Expenses
incurred by the
undersigned or
any person
named in Item
2, above,
during the
calendar year
in connection
with the
activities
described in
Item 4, above,
and the source
or sources of
reimbursement
for same.

(a) Total amount of routine expenses

charged to client: \$-0-

(b) Itemized list of all other

expenses: No other
expenses

In accordance with the provisions of
subdivision (c) of Rule U-71, the
undersigned files this statement as a
combined renewal of the advance
statement filed by the undersigned
January 2003 and as a supplemental
statement to such advance statement.

(Signed) /s/ Richard J.
Smith

Dated:

January 22, 2004

Richard J. Smith