Edgar Filing: HALLIBURTON CO - Form 4

ILALI IDIDTON CO

| Form 4 | | | | | | | | | | | |
|---|---|--|---|--|---------------|--------|-------------|--|---|---|--|
| January 09, 20 FORM | Л | STATES S | | | | | NGE C | COMMISSION | OMB | PROVAL 3235-0287 | |
| Check this if no longe subject to Section 16. Form 4 or | r STATEM | x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Number: Expires: Estimated a burden hour response | | |
| Form 5 obligations may contin <i>See</i> Instruct 1(b). | Section 17(a | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | 0.0 | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Rainey Joe D | | | 2. Issuer Name and Ticker or Trading Symbol HALLIBURTON CO [HAL] | | | | ng | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | (First) (M R CITIBANK AL QUTA'EYA | () 0 | 3. Date of Month/Da)1/06/20 | - | ansaction | | | Director X Officer (give below) | | Owner r (specify | |
| | (Street) | | | ndment, Da th/Day/Year) | - | 1 | | 6. Individual or Joi Applicable Line) _X_ Form filed by O | one Reporting Per | rson | |
| DUBAI, CO (| 00000 | | | | | | | Form filed by M Person | ore than One Rep | porting | |
| (City) | (State) (| (Zip) | Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of, | , or Beneficiall | y Owned | |
| | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution I any (Month/Day | Date, if | 3. Transactio Code (Instr. 8) Code V | (Instr. 3, | sposed | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 01/06/2017 | | | F | 93 <u>(1)</u> | D | \$ 55.68 | 150,258.977 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date U (Month/Day/Year) (| | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deri Secu (Inst |
|---|---|---|---|--|---|---|--------------------|---|-------------------------------------|--------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option to Buy Common Stock | \$ 53.54 | | | | | 12/07/2016 | 12/07/2026 | Common Stock | 40,100 | |
| Option to Buy Common Stock | \$ 38.95 | | | | | 12/02/2015 | 12/02/2025 | Common Stock | 58,700 | |
| Option to Buy Common Stock | \$ 40.75 | | | | | 12/03/2014 | 12/03/2024 | Common Stock | 59,500 | |
| Option to Buy Common Stock | \$ 50.62 | | | | | 12/04/2013 | 12/04/2023 | Common Stock | 45,500 | |
| Option to Buy Common Stock | \$ 33.5 | | | | | 12/05/2012 | 12/05/2022 | Common Stock | 37,933 | |
| Option to Buy Common Stock | \$ 35.57 | | | | | 12/06/2011 | 12/06/2021 | Common Stock | 14,566 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|---------------------------|-------|--|
| 1 | Director | 10% Owner | Officer | Other | |
| Rainey Joe D 14TH FLOOR CITIBANK BUILDING AL QUTA'EYAT ROAD DUBAI, C0 00000 | | | Pres., Eastern Hemisphere | | |

Signatures

Robert L. Hayter, by Power of Attorney

01/09/2017

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued(1) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.