Appling Michael JR Form 4 December 31, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

See Instruction

See Instruction

See Instruction

See Instruction

Fried pursuant to Section 10(a) of the Sectio

1(b).

(Print or Type Responses)

12/14/2018

12/14/2018

Stock

Stock

Common

1. Name and Appling M	Address of Reporting ichael JR	Symbo		5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)		Street Capital CORP [MAIN]	(Check	all applicable	)	
(Last)	(l'list)	, 5.24.0	of Earliest Transaction	70.	100		
1200 000		`	/Day/Year)	Director		Owner er (specify	
	ΓOAK BLVD 87	TH 12/14,	/2018	Officer (give t below)	below)	er (specify	
FLOOR				001011)	0010117		
	(Street)	4. If Ar	mendment, Date Original	6. Individual or Joint/Group Filing(Check			
		Filed(M	Ionth/Day/Year)	Applicable Line)			
				_X_ Form filed by O			
HOUSTON	N, TX 77056			Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip) Ta	able I - Non-Derivative Securities A	cquired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date	e 2A. Deemed	3. 4. Securities Acquired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)	Securities	Ownership	Indirect	
(Instr. 3)		any	Code (Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	
		(Month/Day/Year)	(Instr. 8)	Owned Following	` ′	Ownership	
			(A)	Reported	or Indirect	(Instr. 4)	
			or	Transaction(s)	(I)		
			Code V Amount (D) Price	e (Instr. 3 and 4)	(Instr. 4)		
Common	12/14/2018		I(1) V 0.2612 Δ \$	135 222 7701	D		

V 0.2612 A

 $J_{(1)}^{(1)}$  V 80.648 A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

135,222.7701

135,303.4181

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Appling Michael JR - Form 4

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative		•		Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(2.11541
					4, and 5)						
					i, and 3)						
									Amount		
						Data	Evaluation		or		
						Date Exercisable	Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Appling Michael JR 1300 POST OAK BLVD 8TH FLOOR HOUSTON, TX 77056

## **Signatures**

/s/ Jason B. Beauvais as Attorney-in-Fact for Michael Appling Jr.

12/31/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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