

BROUILLARD RHEO A
Form 4
January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BROUILLARD RHEO A

(Last) (First) (Middle)

803 MAIN STREET

(Street)

WILLIMANTIC, CT 06226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

SI Financial Group, Inc. [SIFI]

3. Date of Earliest Transaction
(Month/Day/Year)

12/28/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/28/2018		M	5,663 A	\$ 5.68 39,309	D	
Common Stock	12/28/2018		F	3,453 D	\$ 12.79 35,856	D	
Common Stock	12/28/2018		M	10,000 A	\$ 9.4 45,856	D	
Common Stock	12/28/2018		F	8,140 D	\$ 12.79 37,716	D	
Common Stock	12/28/2018		M	50,000 A	\$ 11.01 87,716	D	

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Common Stock	12/28/2018	F	45,118	D	\$ 12.79	42,598	D	
Common Stock						19,618 ⁽¹⁾	I	By 401(k)
Common Stock						11,861 ⁽¹⁾	I	By ESOP
Common Stock						4,699	I	By IRA
Common Stock						898	I	By Spouse
Common Stock						2,659	I	By Spouse's IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Options	\$ 5.68	12/28/2018		M	5,663	02/24/2011 02/24/2020	Common Stock 5,663 ⁽²⁾
Stock Options	\$ 9.4	12/28/2018		M	10,000	02/16/2012 02/16/2021	Common Stock 10,000 ⁽²⁾
Stock Options	\$ 11.01	12/28/2018		M	50,000	10/24/2013 10/24/2022	Common Stock 50,000 ⁽²⁾

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

BROUILLARD RHEO A
803 MAIN STREET
WILLIMANTIC, CT 06226

X

President and CEO

Signatures

/s/ Lauren L. Murphy, pursuant to Power of
Attorney

12/31/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Act of 1934, as amended.
- (2) Stock Options are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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