#### **ROTH MICHAEL ISOR**

Form 4 March 04, 2019

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\* ROTH MICHAEL ISOR

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

02/28/2019

INTERPUBLIC GROUP OF COMPANIES, INC. [IPG]

(Check all applicable)

Chairman & CEO

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

THE INTERPUBLIC GROUP OF COMPANIES, INC., 909 THIRD

**AVE** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

NEW YORK, NY 10022

| (City)                               | (State)                              | (Zip) Tab   | le I - Non-l                            | Derivative S                                 | ecurit  | ies Acqui                 | red, Disposed of,  | or Beneficial  | y Owned   |
|--------------------------------------|--------------------------------------|---|---|--|---------|---------------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securitie<br>oror Dispose<br>(Instr. 3, 4 | d of (D | <b>)</b> )                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 02/28/2019                           |   | Code V A                                | Amount 268,161 (1)                           | (D)     | Price \$ 22.9             | 965,716 (2)  | D  |   |
| Common<br>Stock                      | 02/28/2019                           |   | F                                       | 190,957<br>(3)                               | D       | \$ 22.9                   | 774,759 (2)  | D  |   |
| Common<br>Stock                      | 02/28/2019                           |   | A                                       | 160,151<br>(4)                               | A       | \$ 22.9                   | 934,910 (2)  | D  |   |
| Common<br>Stock                      | 03/01/2019                           |   | S                                       | 198,985<br>(5)                               | D       | \$<br>22.95<br><u>(6)</u> | 735,925 (2)  | D  |   |

Common Stock

500,000 (7) I

Trust

(9-02)

9. Nu

Deriv

Secu

Bene Own Follo Repo Trans (Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title<br>Amou<br>Under<br>Securi<br>(Instr. | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |  |
|---|---|---|---|---|--|--------------------|--|--|---|--|
|   |   |   | Code \                                  | (Instr. 3,<br>4, and 5)   |  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

### **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner

Officer

Other

**ROTH MICHAEL ISOR** 

THE INTERPUBLIC GROUP OF COMPANIES, INC.

909 THIRD AVE

NEW YORK, NY 10022

Chairman & CEO

#### **Signatures**

/s/Robert Dobson POA for

03/04/2019

Michael Roth

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance based shares, awarded to Mr. Roth on February 28, 2019 after achieving specific performance goals and vesting over the **(1)** 2016-2019 period.
- (2) Includes restricted shares which are subject to forfeiture under certain circumstances.

Reporting Owners 2

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- (3) This is not an open market sale; rather it represents a surrender of shares to the company to satisfy withholding tax obligations.
- (4) Restricted shares that will vest on February 28, 2022.
- (5) Open market sale.
  - Reflects average price of multiple sales on March 1, 2019 ranging from \$22.76-\$23.14. Mr. Roth undertakes to provide upon request by
- (6) the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number shares sold at each separate price.
- (7) Shares are held in The Michael I. Roth 2015 GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.