

Ponder Quentin Dean
Form 4
March 09, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ponder Quentin Dean

2. Issuer Name and Ticker or Trading Symbol
COOL TECHNOLOGIES, INC.
[WARM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)
Chief Financial Officer

(Last) (First) (Middle)

8875 HIDDEN RIVER
PARKWAY, SUITE 300

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/12-07:00/2018

TAMPA, FL 33637

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock \$.001	02/12-07:00/2018		A		1,000,000	A	\$ 0 (1)
Common Stock \$.001					2,400,000	D	

Summit Management Consulting, Inc. (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title of Issuer (Instr. 3)
				Code	V (A) (D)	Date Exercisable	Expiration Date
Warrant (to purchase common stock)	\$ 0.0714	02/12-07:00/2018		A	1,000,000	02/12-07:00/2018	02/12-07:00/2023
Warrant (to purchase common stock)	\$ 0.0714	02/25-07:00/2018		A	600,000	02/25-07:00/2018	02/25-07:00/2023

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ponder Quentin Dean 8875 HIDDEN RIVER PARKWAY SUITE 300 TAMPA, FL 33637			Chief Financial Officer	

Signatures

/s/ Quentin Ponder 03/08-07:00/2018

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Mr. Ponder is the sole owner of Summit Management Consulting, Inc. ("Summit Management"). In exchange for \$50,000 of consulting fees due to Summit Management, on February 12, 2018, the Issuer issued Summit Management 1,000,000 shares of common stock and a warrant to purchase 1,000,000 shares of the Issuer's common stock at an exercise price of \$.0714 per share.
- (1) In exchange for \$30,000 of consulting fees due to Summit Management, on February 25, 2018, the Issuer issued a warrant to purchase 600,000 shares of the Issuer's common stock at an exercise price of \$.0714 per share and intends to issue Summit Management 600,000

Edgar Filing: Ponder Quentin Dean - Form 4

shares of common stock which have not yet been issued.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.