Masick Michael Andrew Form 3 October 11, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BROWN FORMAN CORP [BFA, BFB] A Masick Michael Andrew (Month/Day/Year) 10/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 850 DIXIE HIGHWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person LOUISVILLE, Â KYÂ 40210 (give title below) (specify below) Form filed by More than One VP DIR CORP STRATEGY Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Class B Common 141 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II Darivetive Securities Peneficially Owned (a a

Table II - Delivative Securities Delicitially Own	ieu (e.g., puis, cans, wai i am	is, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisab	le and	3. Title and A	mount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date		Securities Underlying Derivative Security		Conversion	Ownership	Indirect Beneficial
	(Month/Day/Year)				or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Evaluation	Title	Amount on	Derivative	Security:	
	Date Exercisable	Date	Title	Amount or Number of	Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	04/30/2018(1)	(1)	Class B Common	230	\$ <u>(2)</u>	D	Â
Stock Appreciation Right	05/01/2017	04/30/2024	Class B Common	1,786	\$ 45.985	D	Â
Stock Appreciation Right	05/01/2018	04/30/2025	Class B Common	2,624	\$ 51.125	D	Â
Stock Appreciation Right	05/01/2019	04/30/2026	Class B Common	7,682	\$ 49.005	D	Â
Stock Appreciation Right	05/01/2020	04/30/2027	Class B Common	3,535	\$ 50.63	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	Director	10% Owner	Officer	Other		
Masick Michael Andrew 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	Â	Â	VP DIR CORP STRATEGY	Â		

Signatures

Michael E. Carr, Jr., Attorney in Fact for Michael A.
Masick
10/11/2017

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units were granted on July 24, 2014, and vest April 30, 2018.
- (2) Each restricted stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

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