

BROWN FORMAN CORP  
Form 4  
June 14, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Brown Stuart R

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/21/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)

LOUISVILLE, KY 40210  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Class A Common                  | 01/07/2016                           |  | G                              |   | 265   | A  | \$ 0 156,228                               |
| Class A Common                  | 01/08/2016                           |  | G                              |   | 4   | A  | \$ 0 156,232                               |
| Class A Common                  | 08/11/2016                           |  | G                              | V   | 51,135  | A  | \$ 0 414,734 <sup>(1)</sup>                |
| Class A Common                  | 01/12/2017                           |  | G                              | V   | 602   | A  | \$ 0 415,336                               |
| Class A Common                  | 02/15/2017                           |  | G                              | V   | 2,300   | D  | \$ 0 413,036                               |

Edgar Filing: BROWN FORMAN CORP - Form 4

|                   |            |   |          |   |             |                        |   |                                 |
|-------------------|------------|---|----------|---|-------------|------------------------|---|---------------------------------|
| Class A<br>Common | 01/07/2016 | G | 265      | A | \$ 0        | 1,076                  | I | Child-1<br>account              |
| Class A<br>Common | 01/08/2016 | G | 4        | A | \$ 0        | 1,080                  | I | Child-1<br>account              |
| Class A<br>Common | 01/12/2017 | G | V 602    | A | \$ 0        | 2,762 <sup>(2)</sup>   | I | Child-1<br>account              |
| Class A<br>Common | 02/15/2017 | G | V 575    | A | \$ 0        | 3,337                  | I | Child-1<br>account              |
| Class A<br>Common | 05/24/2016 | J | 114,151  | A | \$ 0        | 228,302 <sup>(3)</sup> | I | SKCJ<br>Investments<br>LLC      |
| Class A<br>Common | 01/12/2017 | G | V 602    | A | \$ 0        | 602                    | I | Child-2<br>account              |
| Class A<br>Common | 02/15/2017 | G | V 575    | A | \$ 0        | 1,177                  | I | Child-2<br>account              |
| Class B<br>Common | 08/11/2016 | G | V 72,684 | A | \$ 0        | 207,680 <sup>(4)</sup> | D |                                 |
| Class B<br>Common | 12/21/2015 | G | 270      | A | \$ 0        | 3,115                  | I | By Spouse                       |
| Class B<br>Common | 12/24/2015 | G | 6        | A | \$ 0        | 3,121                  | I | By Spouse                       |
| Class B<br>Common | 12/16/2016 | G | V 309    | A | \$ 0        | 6,551 <sup>(5)</sup>   | I | By Spouse                       |
| Class B<br>Common | 12/22/2016 | G | V 307    | A | \$ 0        | 6,858                  | I | By Spouse                       |
| Class B<br>Common | 04/12/2016 | S | 150      | D | \$<br>95.76 | 9,918 <sup>(6)</sup>   | I | 2015 Trust                      |
| Class B<br>Common | 05/24/2016 | J | 27,657   | A | \$ 0        | 55,314 <sup>(7)</sup>  | I | SKCJ<br>Investments<br>LLC      |
| Class A<br>Common |            |   |          |   |             | 40,878 <sup>(8)</sup>  | I | 2015 Trust                      |
| Class A<br>Common |            |   |          |   |             | 36,104 <sup>(9)</sup>  | I | Hedge Street<br>Partners<br>LLC |
| Class B<br>Common |            |   |          |   |             | 9,024 <sup>(10)</sup>  | I | Hedge Street<br>Partners<br>LLC |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474  
(9-02)



Edgar Filing: BROWN FORMAN CORP - Form 4

(10) Reflects the acquisition of 4,512 additional shares in the August 2016 stock split.

**Remarks:**

The reporting person disclaims beneficial ownership of shares held by entities set forth on this form except to the extent of his

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.