

Brown J McCauley
Form 4
January 17, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brown J McCauley

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HIGHWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/15/2012

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

LOUISVILLE, KY 40210
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|---------------------|---|---|-----|---|--|---|------------------------|
| | | | Code | V | Amount | (D) | | | | Price |
| Class A Common | 12/26/2012 | | G | V | 160,889 | D | \$ 0 | 8,027 ⁽¹⁾ ⁽²⁾ | D | |
| Class A Common | | | | | | | | 907,663 | I | By Brown Ventures, LLC |
| Class A Common | 12/26/2012 | | G | V | 134,898 | A | \$ 0 | 176,898 | I | By MAE LLC |
| Class A Common | | | | | | | | 148,439 ⁽²⁾ | I | By GRAT |
| Class A Common | 12/26/2012 | | G | V | 54,625 | A | \$ 0 | 102,746 | I | By JMB Irrev Trust |

Edgar Filing: Brown J McCauley - Form 4

| | | | | | | | | | |
|-------------------|------------|--|---|----------|---|-------------|------------------------|---|------------------------------|
| Class A Common | 01/15/2013 | | P | 4,500 | A | \$ 63.79 | 107,246 | I | By JMB Irrev Trust |
| Class A Common | 01/15/2013 | | P | 1,000 | A | \$ 63.89 | 1,000 | I | By Crummey Trust |
| Class A Common | 01/15/2013 | | P | 3,925 | A | \$ 63.79 | 3,925 | I | By Spray Trust |
| Class A Common | | | | | | | 4,600 ⁽¹⁾ | I | By children |
| Class A Common | 12/26/2012 | | G | V 25,991 | A | \$ 0 | 71,249 | I | By Spouse |
| Class A Common | 12/26/2012 | | G | V 71,249 | D | \$ 0 | 0 | I | By Spouse |
| Class A Common | 12/26/2012 | | G | V 71,249 | A | \$ 0 | 71,249 | I | Spouse GRAT |
| Class A Common | 01/15/2013 | | P | 6,125 | A | \$ 63.78 | 6,125 | I | By Spouse Irrev Trust |
| Class B Common | | | | | | | 67,515 | D | |
| Class B Common | | | | | | | 124,519 | I | By Brown Ventures, LLC |
| Class B Common | | | | | | | 6,070.7 ⁽³⁾ | I | BF 401(k) Plan |
| Class B Common | | | | | | | 589 | I | By Children |
| Class B Common | | | | | | | 11,314 | I | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|

Edgar Filing: Brown J McCauley - Form 4

All outstanding derivative security amounts and exercise prices were adjusted on December 27, 2012, the record date for the Issuer's December 12, 2012 special cash dividend.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.