

BERG DONALD C  
Form 3  
May 08, 2008

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

BERG DONALD C

(Last) (First) (Middle)

850 DIXIE HIGHWAY

(Street)

LOUISVILLE, KY 40210

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

05/01/2008

3. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
Chief Financial Officer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Class A Common

8,912.02

D

^

Class B Common

2,808.5

D

^

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

4. Conversion  
or Exercise  
Price of  
Derivative

5. Ownership  
Form of  
Derivative  
Security:

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

## Edgar Filing: BERG DONALD C - Form 3

|                                              | Date<br>Exercisable | Expiration<br>Date | Title             | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|----------------------------------------------|---------------------|--------------------|-------------------|----------------------------------|----------|------------------------------------------------|---|
| Non-Qualified Stock<br>Option (right to buy) | 05/01/2003          | 04/30/2010         | Class B<br>Common | 5,149                            | \$ 24.6  | D                                              | Â |
| Non-Qualified Stock<br>Option (right to buy) | 05/01/2004          | 04/30/2011         | Class B<br>Common | 15,186                           | \$ 33.34 | D                                              | Â |
| Non-Qualified Stock<br>Option (right to buy) | 05/01/2005          | 04/30/2012         | Class B<br>Common | 13,522                           | \$ 31.33 | D                                              | Â |
| Non-Qualified Stock<br>Option (right to buy) | 05/01/2006          | 04/30/2013         | Class B<br>Common | 15,219                           | \$ 38.27 | D                                              | Â |
| Non-Qualified Stock<br>Option (right to buy) | 05/01/2007          | 04/30/2014         | Class B<br>Common | 12,110                           | \$ 45.44 | D                                              | Â |
| Stock Appreciation Right                     | 05/01/2008          | 04/30/2015         | Class B<br>Common | 10,449                           | \$ 57.74 | D                                              | Â |
| Stock Appreciation Right                     | 05/01/2009          | 04/30/2016         | Class B<br>Common | 8,083                            | \$ 70.63 | D                                              | Â |
| Stock Appreciation Right                     | 05/01/2010          | 04/30/2017         | Class B<br>Common | 9,253                            | \$ 68.22 | D                                              | Â |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |                           |       |
|------------------------------------------------------------|---------------|-----------|---------------------------|-------|
|                                                            | Director      | 10% Owner | Officer                   | Other |
| BERG DONALD C<br>850 DIXIE HIGHWAY<br>LOUISVILLE, KY 40210 | Â             | Â         | Â Chief Financial Officer | Â     |

## Signatures

Nelea A. Absher, Attorney-in-Fact for Donald C. Berg 05/08/2008

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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