

TROM JEFF D
Form 4
November 21, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TROM JEFF D

2. Issuer Name and Ticker or Trading Symbol
WORKIVA INC [WK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2900 UNIVERSITY BOULEVARD

3. Date of Earliest Transaction (Month/Day/Year)
11/20/2018

____ Director 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive VP & CTO

(Street)
AMES, IA 50010

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Class A Common Stock	11/20/2018		S ⁽¹⁾		2,920	D	\$ 33.31 <u>(2)</u>
Class A Common Stock	11/20/2018		S ⁽¹⁾		17,080	D	\$ 33.93 <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock ⁽⁴⁾	(4)					(4)	(4)	Class A Common Stock	328,402
Class B Common Stock ⁽⁴⁾	(4)					(4)	(4)	Class A Common Stock	1,744,643
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$ 12.4					02/01/2018	01/31/2027	Class A Common Stock	25,215
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$ 14.74					02/01/2017	01/31/2026	Class A Common Stock	21,212
Employee Stock Option to Purchase Class A Common Stock ⁽⁶⁾	\$ 15.83					08/12/2015 ⁽⁷⁾	08/11/2024	Class A Common Stock	178,200

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

