Cesarek Timothy J Form 3 April 19, 2019

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Gevo, Inc. [GEVO] A Cesarek Timothy J (Month/Day/Year) 04/10/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O GEVO, INC. 345 (Check all applicable) **INVERNESS DRIVE** SOUTH, Â BUILDING C, SUITE 10% Owner Director 310 \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Chief Commercial Officer Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person ENGLEWOOD, Â COÂ 80112 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock (1) D 5,000 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

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 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.}, puts, calls, warrants, options, convertible\ securities)$ 

1. Title of Derivative Security
2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and Securities Underlying Derivative Security

3. Title and Amount of 4.

Securities Underlying Ownership or Exercise Form of Ownership

#### Edgar Filing: Cesarek Timothy J - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Appreciation Right	(2)	05/02/2028	Common Stock	68,239	\$ 6.9	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
Cesarek Timothy J C/O GEVO, INC. 345 INVERNESS DRIVE SOUTH	Â	Â	Chief Commercial Officer	Â	
BUILDING C, SUITE 310 ENGLEWOOD, CO 80112	11	11	71 Ciner Commercial Cineer	7.1	

# **Signatures**

/s/ Geoffrey T. Williams, Attorney-in-Fact

04/19/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted common stock that vests 100% on August 9, 2019
- One-third (1/3) of the award vests on the grant date and one-third (1/3) of the award shall vest on each of the first and second anniversaries of the grant date thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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