

ENERGY FOCUS, INC/DE

Form 4

May 30, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Huang Gina

(Last) (First) (Middle)

PORTCULLIS TRUSTNET
CHAMBERS, ROAD TOWN,
TORTOLA

(Street)

2. Issuer Name **and** Ticker or Trading
Symbol
ENERGY FOCUS, INC/DE [EFOI]

3. Date of Earliest Transaction
(Month/Day/Year)
05/17/2013

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

BRITISH VIRGIN ISLANDS 3444

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
X Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		Price
Common Stock	05/29/2013		C		4,347,826	A	\$ 0.23
					4,347,826	I	
							Held by Brilliant Start Enterprise, Inc. ⁽¹⁾
Common Stock	10/16/2013		C		2,173,913	A	\$ 0.23
					6,521,739	I	
							Held by Brilliant Start Enterprise, Inc. ⁽¹⁾
					4,000,000	I	

Common
StockHeld by Jag
International
Ltd. (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**SEC 1474
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Underlying (Instr. 3)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Convertible Subordinated Note	\$ 0.23	05/17/2013		A		2,173,913		09/30/2013	12/31/2016	Common Stock
Convertible Subordinated Note	\$ 0.23	05/29/2013		C		4,347,826		04/30/2013	12/31/2015	Common Stock
Convertible Subordinated Note	\$ 0.23	10/16/2013		C		2,173,913		09/30/2013	12/31/2016	Common Stock
Warrants to purchase Common Stock	\$ 0.43 ⁽³⁾							02/27/2012	02/27/2015	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Huang Gina PORTCULLIS TRUSTNET CHAMBERS	X

ROAD TOWN, TORTOLA
BRITISH VIRGIN ISLANDS 3444

Jag International Co Ltd.

P.O. BOX 3444

ROAD TOWN

TORTOLA, BRITISH VIRGIN ISLAND

X

Brilliant Start Enterprise, Inc.

PORTCULLIS TRUSTNET CHAMBERS

ROAD TOWN, TORTOLA

BRITISH VIRGIN ISLANDS 3444

X

Signatures

/s/ Frank Lamana as

Attorney-in-Fact

05/30/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Ms. Huang is the Chairman of Brilliant Start Enterprise, Inc.

(2) Ms. Huang is the sole owner of Jag International Ltd.

(3) Warrant was originally issued at \$0.54/share; however, was re-priced per the terms of the warrant to \$0.43/share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.