

FLUSHING FINANCIAL CORP
Form 4
August 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Weichsel W Jeffrey

2. Issuer Name and Ticker or Trading Symbol
FLUSHING FINANCIAL CORP [FFIC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

1979 MARCUS AVENUE, SUITE E140

08/02/2013

Senior Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

LAKE SUCCESS, NY 11042

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	08/02/2013		M	1,500 (1) A \$ 16.77	28,051	D	
Common Stock	08/02/2013		M	1,500 (2) A \$ 17.88	29,551	D	
Common Stock	08/02/2013		M	1,500 (3) A \$ 16.44	31,051	D	
Common Stock	08/02/2013		M	4,000 (4) A \$ 16.65	35,051	D	
Common Stock	08/02/2013		M	880 (5) A \$ 8.44	35,931	D	

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Common Stock	08/02/2013	F	8,418 (6)	D	\$ 19.2	27,513	D	
Common Stock	08/06/2013	S	3,000	D	\$ 19.36 (7)	24,513	D	
Common Stock						15,034 (8)	I	401(K)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option Exercise (Right to buy)	\$ 16.77	08/02/2013		M	1,500	(1) 06/14/2014	Common Stock	1,500	
Employee Stock Option Exercise (Right to buy)	\$ 17.88	08/02/2013		M	1,500	(2) 06/20/2015	Common Stock	1,500	
Employee Stock Option Exercise (Right to buy)	\$ 16.44	08/02/2013		M	1,500	(3) 06/19/2016	Common Stock	1,500	

Employee Stock Option Exercise (Right to buy)	\$ 16.65	08/02/2013	M	4,000	(4)	06/18/2017	Common Stock	4,000
Employee Stock Option Exercise (Right to buy)	\$ 8.44	08/02/2013	M	880	(5)	01/29/2019	Common Stock	880

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Weichsel W Jeffrey 1979 MARCUS AVENUE SUITE E140 LAKE SUCCESS, NY 11042			Senior Vice President	

Signatures

Signed by Russell A. Fleishman Under Power of Attorney by William J. Weichsel 08/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option exercise from June 15, 2004 grant. Options became exercisable on December 21, 2004.
- (2) Stock option exercise from June 21, 2005 grant. Options became exercisable on December 21, 2005.
- (3) Stock option exercise from June 20, 2006 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (4) Stock option exercise from June 19, 2007 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (5) Stock option exercise from January 30, 2009 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (6) Shares withheld to satisfy option price and taxes.
- (7) All shares sold at \$19.36.
- (8) Shares held in FB 401k Savings Plan a/o 8/6/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.