Kennedy Giulia C Form 4 March 04, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kennedy Giulia C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

VERACYTE, INC. [VCYT]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

02/28/2019

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

Chief Scientific & Med Officer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

6000 SHORELINE COURT, SUITE

(Street)

300

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**SOUTH SAN** FRANCISCO, CA 94080

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	ed of	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/28/2019		A A	8,000 (1)	A	\$ 0	17,400	D	
Common Stock	02/28/2019		A	32,000 (2)	A	\$0	49,400	D	
Common Stock	02/28/2019		M	397	A	\$ 10.45	49,797	D	
Common Stock	02/28/2019		M	11,667	A	\$ 8.86	61,464	D	
Common Stock	02/28/2019		M	8,749	A	\$ 6.45	70,213	D	

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Common Stock	02/28/2019	M	4,166	A	\$ 5.43	74,379	D
Common Stock	02/28/2019	M	12,396	A	\$ 9.05	86,775	D
Common Stock	02/28/2019	S	36,075	D	\$ 20.4168 (3)	50,700	D
Common Stock	02/28/2019	S	1,300	D	\$ 21.2833 (4)	49,400	D
Common Stock	02/28/2019	F	813 (5)	D	\$ 0	48,587	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number or Derivative Securities (A) or Dis (D) (Instr. 3, 4	Acquired sposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Option (right to buy)	\$ 20.21	02/28/2019		A	60,000		<u>(6)</u>	02/27/2029	Common Stock	60,00
Stock Option (right to buy)	\$ 10.45	02/28/2019		M		397	<u>(7)</u>	09/15/2024	Common Stock	397
Stock Option (right to buy)	\$ 8.86	02/28/2019		M		11,667	<u>(8)</u>	03/01/2025	Common Stock	11,66
Stock Option (right to	\$ 6.45	02/28/2019		M		8,749	<u>(9)</u>	02/28/2026	Common Stock	8,74

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buy)								
Stock Option (right to buy)	\$ 5.43	02/28/2019	M	4,166	(10)	03/14/2026	Common Stock	4,16
Stock Option (right to buy)	\$ 9.05	02/28/2019	M	12,396	(11)	03/02/2027	Common Stock	12,39

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Kennedy Giulia C 6000 SHORELINE COURT SUITE 300 SOUTH SAN FRANCISCO, CA 94080

Chief Scientific & Med Officer

## **Signatures**

/s/ Keith Kennedy as attorney-in-fact 03/04/2019

\*\*Signature of Reporting Person Da

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units awarded on February 28, 2019 vest 25% on March 2, 2020 and 1/16 per quarter thereafter subject to continuing employment of the Reporting Person on each vesting date.
- (2) Restricted stock units awarded on February 28, 2019 vest 25% on February 28, 2021 and 75% on February 28, 2022 subject to continuing employment of the Reporting Person on each vesting date.
- (3) Represents weighted average sales price. Sale prices for the transactions range from \$20.11 to \$21.10. Detailed information regarding the number of shares sold at each separate price will be provided to the SEC, the issuer or a shareholder upon request.
- (4) Represents weighted average sales price. Sale prices for the transactions range from \$21.14 to \$21.39. Detailed information regarding the number of shares sold at each separate price will be provided to the SEC, the issuer or a shareholder upon request.
- The reporting person is reporting the withholding of 813 shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of 2,350 Restricted Stock Units granted on March 2, 2018. The reporting person did not sell or otherwise dispose of any of the shares reported on this Form 4.
- The option becomes exercisable as to 25% of the shares on February 28, 2020, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the award for each month of continuous service thereafter.
- (7) The option is fully vested and fully exercisable.
- (8) The option becomes exercisable as to 25% of the shares on March 2, 2016, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the award for each month of continuous service thereafter.
- (9) The option becomes exercisable as to 25% of the shares on March 1, 2017, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the award for each month of continuous service thereafter.

(10)

Reporting Owners 3

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The option becomes exercisable as to 25% of the shares on March 15, 2017, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the award for each month of continuous service thereafter.

(11) The option becomes exercisable as to 25% of the shares on March 3, 2018, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the award for each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.