

Edgar Filing: Interactive Brokers Group, Inc. - Form 8-K

Interactive Brokers Group, Inc.
Form 8-K
April 24, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): April 19, 2018

INTERACTIVE BROKERS GROUP, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware	001-33440	30-0390693
(State or Other Jurisdiction of Incorporation)	(Commission File Number)	(I.R.S. Employer Identification Number)

One Pickwick Plaza, Greenwich, CT 06830

(Address of Principal Executive Offices) (Zip Code)

(203) 618-5800

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written
communications
pursuant to Rule
425 under the
Securities Act (17
CFR 230.425)

Soliciting material
pursuant to Rule
14a-12 under the
Exchange Act (17
CFR 240.14a-12)
Pre-commencement
communications
pursuant to Rule
14d-2(b) under the
Exchange Act (17
CFR 240.14d-2(b))
Pre-commencement
communications
pursuant to Rule
13e-4(c) under the
Exchange Act (17
CFR 240.13e-4(c))

Indicate by check
mark whether the
registrant is an
emerging growth
company as defined
in Rule 405 of the
Securities Act of
1933 (230.405 of
this chapter) or
Rule 12b-2 of the
Securities
Exchange Act of
1934 (240.12b-2 of
this chapter).
If an emerging
growth company,
indicate by check
mark if the
registrant has
elected not to use
the extended
transition period
for complying with
any new or revised
financial
accounting
standards provided
pursuant to Section
13(a) of the
Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders.

The annual meeting of stockholders of Interactive Brokers Group, Inc. (the "Company") was held on April 19, 2018.

The stockholders voted on proposals to elect directors to the Company's Board of Directors (the "Board"), to extend the term of the Company's 2007 Stock Incentive Plan for a ten-year period through April 24, 2027, and to ratify the appointment of Deloitte & Touche LLP as independent auditor.

All nominees for election to the Board were elected for a one year term expiring at the annual meeting of stockholders in the following year. Each director will hold office until his successor has been elected and qualified or until the director's earlier resignation or removal.

The number of votes cast for or against and the number of abstentions with respect to each proposal is set forth below. The Company's independent inspector of election reported the vote of the stockholders as follows:

Election of Directors (Percentages shown are of the votes cast)

	For	Against	Abstain	Broker Non-Vote
Thomas Peterffy	368,932,635 93.84%	23,979,309 6.10%	220,182 0.06%	13,908,826
Earl H. Nemser	368,139,418 93.64%	24,967,086 6.35%	25,622 0.01%	13,908,826
Milan Galik	377,736,136 96.08%	15,369,351 3.91%	26,639 0.01%	13,908,826
Paul J. Brody	376,373,517 95.73%	16,731,874 4.26%	26,735 0.01%	13,908,826
Lawrence E. Harris	392,075,921 99.73%	1,029,564 0.26%	26,641 0.01%	13,908,826
Richard Gates	392,602,806 99.86%	502,618 0.13%	26,702 0.01%	13,908,826
Gary Katz	392,621,565 99.87%	483,835 0.12%	26,726 0.01%	13,908,826
Kenneth J. Winston	392,965,833 99.95%	138,699 0.04%	27,594 0.01%	13,908,826

Stockholders approved the amended 2007 Stock Incentive Plan, extending its term for a ten-year period through April 24, 2027, by a vote of 369,512,215 for; 23,580,411 against; 39,500 abstentions; and 13,908,826 broker non-votes.

Stockholders approved the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for the year ending December 31, 2018, by a vote of 406,441,855 for; 498,976 against; and 100,121 abstentions.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: April 24, 2018

INTERACTIVE BROKERS GROUP,
INC.

By: /s/ Paul J. Brody
Name: Paul J. Brody
Title: Chief Financial Officer, Treasurer
and Secretary
