Edgar Filing: AMERICAN POWER GROUP Corp - Form 4

AMERICAN POWER GROUP Corp

Form 4

February 29, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading COPPA CHARLES Issuer Symbol AMERICAN POWER GROUP Corp (Check all applicable) [APGI] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) below) AMERICAN POWER GROUP, 7 02/18/2016 **CFO** KIMBALL LANE BLDG 1 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LYNNFIELD, MA 01940 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 4. Securities Acquired 7. Nature of 1. Title of 3. 5. Amount of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) (Instr. 4) Following Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Price Code V (D) Amount Common P 02/18/2016 02/18/2016 100,012 772,494 D 0.11 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. tionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | S | Date | 7. Title and A Underlying S (Instr. 3 and | Securities Deriv | |
|---|---|--------------------------------------|--------------------------------------|--|---------------------|-----------------|---|----------------------------------|--|
| | | | Code V | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Common Stock Purchase Options | (1) | | | | <u>(1)</u> | <u>(1)</u> | Common Stock | 707,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| COPPA CHARLES | | | | | | | |
| AMERICAN POWER GROUP | | | CEO | | | | |
| 7 KIMBALL LANE BLDG 1 | | | CFO | | | | |
| LYNNFIELD, MA 01940 | | | | | | | |

Signatures

/s/Charles E
Coppa

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Options to purchase 125,000 shares of Common Stock have exercise prices ranging from \$.45 to \$.80 per share vest immediately upon date of grant and have a term of 10 years from date of grant. Options to purchase 582,000 shares of Common Stock have exercise prices ranging from \$.23 to \$.36 per share, vest equally over a 5 year term from date of grant and have a term of 10 years from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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