#### GENERAL ELECTRIC CO

Form 4

January 04, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

**TURNPIKE** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Heintzelman daniel Issuer Symbol GENERAL ELECTRIC CO [GE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director GENERAL ELECTRIC 12/31/2015

10% Owner X\_ Officer (give title Other (specify below) Vice Chairman

COMPANY, 3135 EASTON (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FAIRFIELD, CT 06828

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	12/31/2015		Code V M	Amount 6,250	(D)	Price \$ 0	375,844	D		
Common Stock	12/31/2015		F	2,944	D	\$ 31.15	372,900	D		
Common Stock	12/31/2015		M	15,000	A	\$ 0	387,900	D		
Common Stock	12/31/2015		F	7,065	D	\$ 31.15	380,835	D		
Common Stock	12/31/2015		M	20,000	A	\$ 0	400,835	D		

### Edgar Filing: GENERAL ELECTRIC CO - Form 4

Common Stock	12/31/2015	F	9,420	D	\$ 31.15	391,415	D	
Common Stock						7	I	by family
Common Stock						4,028	I	401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative Securities		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and A	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Restricted Stock Units	(1)	12/31/2015		M		6,250	12/31/2015	12/31/2015	Common Stock	6,250		
Restricted Stock Units	(1)	12/31/2015		M	1	15,000	12/31/2015	12/31/2015	Common Stock	15,000		
Restricted Stock Units	(1)	12/31/2015		M	2	20,000	12/31/2015	12/31/2015	Common Stock	20,000		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 9	Director	10% Owner	Officer	Other			
Heintzelman daniel							
GENERAL ELECTRIC COMPANY			Vice				
3135 EASTON TURNPIKE			Chairman				
FAIRFIELD, CT 06828							

Reporting Owners 2

# **Signatures**

Brian Sandstrom on behalf of Daniel Heintzelman

01/04/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3