Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

GREAT SOUTHERN BANCORP INC

Form 4

October 26, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB Number:

5. Relationship of Reporting Person(s) to

3235-0287

0.5

January 31, Expires: 2005

OMB APPROVAL

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

See Instruction

GRI			Symbol GREAT INC [G		ТН	ERN BA	NC	ORP	(Check all applicable)			
(Last)	(First) (ENSTONE AVE	Middle)	3. Date of (Month/E) 10/14/2						Director 10% Owner Officer (give title below) Other (specify below)			
Filed(Mo			endment, Date Original onth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	ELD, MO 65802 (State)	(Zip)	Tabl	la I Ni	D		C	.:4: A	Person			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ned Date, if	3.	actio	4. Securit n(A) or Di (Instr. 3,	ties A	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
stock									100	D		
Common stock	10/14/2016			<u>J(1)</u>	V	70	A	\$ 40.976	13,045	I	Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		4. 5. Number Transactionof Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerci Expiration Dat (Month/Day/Y	ie e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase	\$ 41.3	10/24/2016		A	500	10/24/2018	10/24/2026	Common Stock	500	\$
Option to purchase	\$ 41.3	10/24/2016		A	500	10/24/2019	10/24/2026	Common Stock	500	\$
Option to purchase	\$ 41.3	10/24/2016		A	500	10/24/2020	10/24/2026	Common Stock	500	\$
Option to purchase	\$ 41.3	10/24/2016		A	500	10/24/2021	10/24/2026	Common Stock	500	\$
Option to purchase	\$ 32.59					(2)	10/15/2024	Common stock	5,000	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARLSON THOMAS J 218 S. GLENSTONE AVE SPRINGFIELD, MO 65802

Signatures

Matt Snyder, Attorney-in-fact for Thomas J.
Carlson

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily
- (2) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Date

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