### FLANAGAN MARTIN L

Form 5

January 05, 2018

## FORM 5

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0362

Check this box if no longer subject to Section 16.

vasnington, D.C. 20549

Expires: January 31, 2005

Form 4 or Form 5 obligations may continue. *See* Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

| 1. Name and Address of Reporting Person * FLANAGAN MARTIN L  (Last) (First) (Middle)  1555 PEACHTREE STREET |      | _   | 2. Issuer Name and Ticker or Trading Symbol  | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|---|------|-----|--|---|--|--|--|
|   |      | , , | Invesco Ltd. [IVZ]  3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  12/20/2017 | (Check all applicable)  _X_ Director 10% Owner _X_ Officer (give title Other (specify below)  President & CEO |  |  |  |
| NE, SUITE   | 1800 |     |  |   |  |  |  |
| (Street)  |      |     | 4. If Amendment, Date Original Filed(Month/Day/Year)   | 6. Individual or Joint/Group Reporting  |  |  |  |
|   |      |     |  | (check applicable line)   |  |  |  |

## ATLANTA, GAÂ 30309

\_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

| (City)                               | (State)                                 | Zip) Table  | Table I - Non-Derivative Securities Acquired, Disposed of, or Ber |   |        |     |  |  |   |
|--------------------------------------|---|---|---|---|--------|-----|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8)                           | 4. Securit (A) or Dis (D) (Instr. 3, 4) | sposed | of  | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Shares                     | 12/20/2017                              | Â   | G   | 39,596                                  | D      | \$0 | 51,639   | I  | By GRAT   |
| Common<br>Shares                     | 12/20/2017                              | Â   | G   | 39,596                                  | A      | \$0 | 489,901  | D  | Â   |
| Common<br>Shares                     | 12/20/2017                              | Â   | G   | 39,596                                  | D      | \$0 | 450,305  | D  | Â   |
| Common<br>Shares                     | 12/20/2017                              | Â   | G   | 39,596                                  | A      | \$0 | 2,197,555  | I  | By Trust  |

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| Common<br>Shares | Â | Â | Â | Â | Â | Â | 400     | I | By Spouse |
|------------------|---|---|---|---|---|---|---------|---|-----------|
| Common<br>Shares | Â | Â | Â | Â | Â | Â | 229,550 | I | By GRAT   |
| Common<br>Shares | Â | Â | Â | Â | Â | Â | 661,383 | I | By GRAT   |
| Common<br>Shares | Â | Â | Â | Â | Â | Â | 225,000 | I | By Trust  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and    | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration D  | ate         | Amou    | int of   | Derivative  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | lying    | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Securi  | ities    | (Instr. 5)  |
|             | Derivative  |                     |                    |             | Securities |               |             | (Instr. | 3 and 4) |             |
|             | Security    |                     |                    |             | Acquired   |               |             |         |          |             |
|             | -           |                     |                    |             | (A) or     |               |             |         |          |             |
|             |             |                     |                    |             | Disposed   |               |             |         |          |             |
|             |             |                     |                    |             | of (D)     |               |             |         |          |             |
|             |             |                     |                    |             | (Instr. 3, |               |             |         |          |             |
|             |             |                     |                    |             | 4, and 5)  |               |             |         |          |             |
|             |             |                     |                    |             |            |               |             |         | Amount   |             |
|             |             |                     |                    |             |            | ъ.            |             |         | or       |             |
|             |             |                     |                    |             |            | Date          | Expiration  | Title   | Number   |             |
|             |             |                     |                    |             |            | Exercisable   | Date        |         | of       |             |
|             |             |                     |                    |             | (A) (D)    |               |             |         | Shares   |             |

# **Reporting Owners**

| Reporting Owner Name / Address   |          | Relatio   | onships            |       |
|--|----------|-----------|--------------------|-------|
| . 0  | Director | 10% Owner | Officer            | Other |
| FLANAGAN MARTIN L<br>1555 PEACHTREE STREET NE<br>SUITE 1800<br>ATLANTA, GA 30309 | ÂX       | Â         | President<br>& CEO | Â     |
| Signatures   |          |           |                    |       |

## **Signatures**

/s/ Robert H. Rigsby, as Attorney
in Fact

\*\*Signature of Reporting Person

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

This voluntary Form 5 reports an in-kind annuity distribution from an established GRAT and a bon

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.