Allied World Assurance Co Holdings, AG

Form 4

February 27, 2017

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Ziegler Kent W

2. Issuer Name and Ticker or Trading Symbol

Allied World Assurance Co

Holdings, AG [AWH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

(Month/Day/Year) 02/25/2017

3. Date of Earliest Transaction X_ Officer (give title

below) SVP, Finance & CAO

Other (specify

10% Owner

ALLIED WORLD ASSURANCE CO. HOLDINGS,

AG, GUBELSTRASSE 24, PARK TOWER, 15TH FLOOR

(Street)

(State)

02/25/2017

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

53.1688 2,343

ZUG, V8 6300

(City)

Shares

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Shares	02/25/2017		M	858	A	\$ 0 (1)	3,201	D		
Common	02/25/2017		D	0.50	Ъ	\$	2 242	D		

858

D

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D

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displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	Execution Date, if any	4. Transaction Code	Derivative	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	Derivat Securit
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	02/25/2017		M	858	(3)	(3)	Common Shares	858	<u>(1)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
Ziegler Kent W ALLIED WORLD ASSURANCE CO. HOLDINGS, AG GUBELSTRASSE 24, PARK TOWER, 15TH FLOOR ZUG. V8 6300			SVP, Finance & CAO			

Signatures

/s/ Theodore Neos, by Power of Attorney 02/27/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 25, 2013, the reporting person was granted 3,423 Restricted Stock Units for no monetary consideration. The Restricted (1) Stock Units convert into cash equal to the market value of 3,423 common shares (measured at the date of vesting) upon the vesting of such Restricted Stock Units.
- (2) The fair market value of the cash portion was determined using the daily volume-weighted average sales price of the common shares for the five consecutive trading days prior to February 25, 2017.
- (3) The Restricted Stock Units vest in four equal installments with the first installment vesting on February 25, 2014.
- (4) Represents Restricted Stock Units held by the reporting person that vest in whole or in part in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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