Invesco Ltd. Form 4 January 30, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

Common

**Shares** 

01/27/2017

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Johnson Ben F. III Issuer Symbol Invesco Ltd. [IVZ] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title 1555 PEACHTREE STREET 01/27/2017 below) NE. SUITE 1800 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30309 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

\$0

32,843

Α

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1,228

#### Edgar Filing: Invesco Ltd. - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exerc       |                    | 7. Titl               |          | 8. Price of | 9. Nu    |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|--------------------|-----------------------|----------|-------------|----------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D        | ate                | Amou                  | ınt of   | Derivative  | Deriv    |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/         | Year)              | Under                 | lying    | Security    | Secui    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                   |                    | Securi                | ities    | (Instr. 5)  | Bene     |
|             | Derivative  |                     | •                  |            | Securities | 3                   |                    | (Instr.               | 3 and 4) |             | Owne     |
|             | Security    |                     |                    |            | Acquired   |                     |                    |                       |          |             | Follo    |
|             |             |                     |                    |            | (A) or     |                     |                    |                       |          |             | Repo     |
|             |             |                     |                    |            | Disposed   |                     |                    |                       |          |             | Trans    |
|             |             |                     |                    |            | of (D)     |                     |                    |                       |          |             | (Instr   |
|             |             |                     |                    |            | (Instr. 3, |                     |                    |                       |          |             | (2.11541 |
|             |             |                     |                    |            | 4, and 5)  |                     |                    |                       |          |             |          |
|             |             |                     |                    |            | i, and 3)  |                     |                    |                       |          |             |          |
|             |             |                     |                    |            |            |                     |                    |                       | Amount   |             |          |
|             |             |                     |                    |            |            | Date<br>Exercisable | Expiration<br>Date | or<br>Title Num<br>of | or       |             |          |
|             |             |                     |                    |            |            |                     |                    |                       | Number   |             |          |
|             |             |                     |                    |            |            |                     |                    |                       | of       |             |          |
|             |             |                     |                    | Code V     | (A) (D)    |                     |                    |                       | Shares   |             |          |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| • 9   | Director      | 10% Owner | Officer | Other |  |  |  |
| Johnson Ben F. III<br>1555 PEACHTREE STREET NE<br>SUITE 1800<br>ATLANTA, GA 30309 | X             |           |         |       |  |  |  |

## **Signatures**

Robert H. Rigsby, as Attorney in Fact 01/30/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

This Form 4 reports the acquisition by the reporting person of Common Shares resulting from a quarterly grant to the registrar Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2