Insys Therapeutics, Inc.

Form 4

August 12, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

may continue.

See Instruction

JOHN N KAPOOR TRUST DATED SEPTEMBER 20 1989		Symbol Insys Therapeutics, Inc. [INSY]				C	Issuer (Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)			DirectorX 10% Owner					
C/O INSYS THERAPEUTICS, INC., 1333 SOUTH SPECTRUM		08/12/2016					Officer (give title below) Other (specify below)				
BLVD, #1	00										
	(Street)		4. If An	nendment,	Date Origi	nal		6. Individual or J	oint/Group Fi	ling(Check	
			Filed(M	Filed(Month/Day/Year)				Applicable Line)  Form filed by One Reporting Person X_ Form filed by More than One Reporting			
CHANDLER, AZ 85286								Person			
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivati	ve Sec	curities Acqu	uired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8)	4. Securi or Dispo (Instr. 3,	sed of 4 and		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	08/12/2016			P	5,700	A	\$ 18.5707 (1)	42,430,310	I	By Trust (2)	
Common Stock								31,982	D		
Common Stock								56,288	I	By Partnership (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo
				Disposed of (D)						Trans (Instr
				(Instr. 3,						(
				4, and 5)						
								Amount		
					Date Exercisable	Expiration Date	Title	or Number of		
			Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
JOHN N KAPOOR TRUST DATED SEPTEMBER 20 1989 C/O INSYS THERAPEUTICS, INC. 1333 SOUTH SPECTRUM BLVD, #100 CHANDLER, AZ 85286		X			
KAPOOR JOHN N 1333 SOUTH SPECTRUM BLVD, #100 CHANDLER AZ 85286	X	X	President & CEO		

# **Signatures**

/s/ John N. Kapoor	08/12/2016		
**Signature of Reporting Person	Date		
/s/ John N. Kapoor, Trustee	08/12/2016		
**Signature of Reporting	Date		

2 Reporting Owners

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$18.4399 to \$18.75, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of
- the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.
- (2) By The John N. Kapoor Trust dated September 20, 1989.
- (3) By EJ Financial/NEO Management, L.P. of which the reporting person is Managing General Partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.