#### NAVISTAR INTERNATIONAL CORP

Form 4 March 03, 2015

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

Sirignano Michael

(Last)

(City)

2. Issuer Name and Ticker or Trading

Symbol

NAVISTAR INTERNATIONAL

CORP [NAV]

3. Date of Earliest Transaction

4. If Amendment, Date Original

X\_ Director

Issuer

below)

10% Owner Officer (give title Other (specify

40 WEST 57TH SREET, 24TH **FLOOR** 

(Middle)

(Zip)

Filed(Month/Day/Year)

(Month/Day/Year)

02/27/2015

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10019

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Code V Amount Price (D)

Common 02/27/2015 Stock

M 2,809 <u>(2)</u> 2,809

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 (9-02)

D

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of		6. Date Exercisable and		/. Title and Amount	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orDeri	vative	Expiration Date		Underlying Securitie	
Security	or Exercise		any	Code	Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or					
	Derivative				Disposed of (D)					
	Security				(Instr. 3, 4, and 5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Phantom Stock Units	\$ 0 (1)	02/27/2015		M		2,809.337	02/27/2015	02/27/2015	Common Stock	2,80

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Sirignano Michael 40 WEST 57TH SREET, 24TH FLOOR NEW YORK, NY 10019	X					

# **Signatures**

/s/ Janet Yeung as attorney in fact

03/03/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion is on a 1 for 1 basis.
- (2) Each unit of phantom stock was the economic equivalent of one share of Navistar Common Stock. The phantom stock units were settled for shares of Navistar Common Stock. The fractional phantom stock unit will be reimbursed in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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