#### SANGAMO BIOSCIENCES INC

Form 4 June 04, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Gregory Philip D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

SANGAMO BIOSCIENCES INC [SGMO]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title below)

06/02/2014

SVP of Research & CSO

C/O SANGAMO BIOSCIENCES INC.,, POINT RICHMOND TECH CNTR, 501 CANAL BLVD

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### RICHMOND, CA 94804

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivative                                | Secu  | rities Acquii        | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|---|-------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>ord Dispos<br>(Instr. 3, 4) | ed of | ` ′                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 06/02/2014                              |   | M                                      | 7,000                                     | A     | \$ 6.82              | 116,347 (1)  | D  |   |
| Common<br>Stock                      | 06/02/2014                              |   | M                                      | 3,000                                     | A     | \$ 3.45              | 119,347 (1)  | D  |   |
| Common<br>Stock                      | 06/02/2014                              |   | M                                      | 5,000                                     | A     | \$ 5.35              | 124,347 (1)  | D  |   |
| Common<br>Stock                      | 06/02/2014                              |   | S(2)                                   | 15,000                                    | D     | \$<br>12.8482<br>(3) | 109,347 (1)  | D  |   |

### Edgar Filing: SANGAMO BIOSCIENCES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) |     |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |  |
|---|---|--------------------------------------|---|--|-----|-------|--|--------------------|---|--|--|
|   |   |                                      |   | Code V                                 | (A) | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 6.82   | 06/02/2014                           |   | M                                      |     | 7,000 | <u>(4)</u>   | 12/12/2016         | Common<br>Stock   | 7,000                                  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 3.45   | 06/02/2014                           |   | M                                      |     | 3,000 | <u>(4)</u>   | 12/09/2018         | Common<br>Stock   | 3,000                                  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 5.35   | 06/02/2014                           |   | M                                      |     | 5,000 | <u>(4)</u>   | 12/06/2019         | Common<br>Stock   | 5,000                                  |  |

# **Reporting Owners**

| Reporting Owner Name / Address |          | Relationships |         |       |
|--------------------------------|----------|---------------|---------|-------|
|                                | Director | 10% Owner     | Officer | Other |

Gregory Philip D C/O SANGAMO BIOSCIENCES INC., POINT RICHMOND TECH CNTR, 501 CANAL BLVD RICHMOND, CA 94804

SVP of Research & CSO

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## **Signatures**

/s/ Florence Tam, attorney-in-fact 06/04/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 33,333 shares, 26,666 shares, and 35,000 shares subject to restricted stock units granted on December 8, 2011, December 6, 2012, and December 12, 2013 respectively, which will be issued as such units vest in accordance with their terms.
- The sales reported in this Form 4 were effected pursuant to the Rule 10b5-1 trading plan adopted by the Reporting Person on February 19, 2014.
  - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$12.80 to \$13.22, inclusive. The Reporting Person undertakes to provide to Sangamo BioSciences, Inc., any security holder of Sangamo
- BioSciences, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.
- (4) All shares underlying this option are vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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