Ricketts Todd M Form 4/A January 08, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

burden hours per

Estimated average response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

TD AMERITRADE HOLDING

Symbol

CORP [AMTD]

1(b).

(Print or Type Responses)

Ricketts Todd M

1. Name and Address of Reporting Person \*

See Instruction

(Last) 4211 SOUT	(First) TH 102ND ST	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/31/2012			_X_ Director Officer (give below)		Owner er (specify			
OMAHA, N	(Street) NE 68127		4. If Amendment, Date Original Filed(Month/Day/Year) 01/03/2013				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)								ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Do (Month/Day/Yea	ar) Execution	med on Date, if Day/Year)	Code	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			of (D)	5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	12/13/2012			G	V	228,369 (1) (4)	D	\$0	137,184	D	
Common Stock	12/31/2012			A		247	A	\$0	137,431	D	
Common Stock									38,100	I	By children (2)
Common Stock									7,889	I	By spouse
Common Stock									228,369 (1)	I	By spouse and children (3)

#### Edgar Filing: Ricketts Todd M - Form 4/A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	int of	Derivative	J
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
		Derivative				Securities			(Instr.	3 and 4)		(
		Security				Acquired						]
		•				(A) or						]
						Disposed						-
						of (D)						(
						(Instr. 3,						
						4, and 5)						
										Amount		
							Date	Expiration		or		
							Exercisable Date	-	Title	Number		
										of		
					Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

10% Owner Officer Other Director

Ricketts Todd M 4211 SOUTH 102ND ST X **OMAHA, NE 68127** 

## **Signatures**

/s/ Brenna O'Connor as attorney-in-fact for Todd M. 01/08/2013 Ricketts

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflecting shares transferred to a trust created for the benefit of Mr. Ricketts' spouse and children.
- (2) Shares are held by trusts created for Mr. Ricketts' children.
- (3) Shares are held by trust created for Mr. Ricketts' spouse and children.
- (4) Correcting the number of shares transferrred and subsequent ownership balances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

9. Ni Deriv Secu Bene

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