

Pappa Kenneth
Form 3
October 19, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Pappa Kenneth		(Month/Day/Year)	THERMOGENESIS CORP [KOOL]	
(Last)	(First)	10/12/2011		
2711 CITRUS RD			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
RANCHO			<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
CORDOVA, CA 95630			<input checked="" type="checkbox"/> Officer	<input type="checkbox"/> Other
(City)	(State)	(Zip)	(give title below)	(specify below)
			VP, Engineering/Manufacturing	
			6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input checked="" type="checkbox"/> Form filed by One Reporting Person	
			<input type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	15,600	D	^
Common Stock ⁽¹⁾	50,000	D	^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

Edgar Filing: Pappa Kenneth - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	06/12/2010	06/12/2012	Common Stock	1,250	\$ 17.68	D	Â
Stock Option	05/16/2010	05/16/2012	Common Stock	244	\$ 11.52	D	Â
Stock Option	Â (2)	01/30/2013	Common Stock	7,500	\$ 3.08	D	Â
Stock Option	Â (3)	07/30/2013	Common Stock	18,750	\$ 2.54	D	Â
Stock Option	Â (4)	02/08/2014	Common Stock	17,500	\$ 2.28	D	Â
Stock Option	Â (5)	06/10/2015	Common Stock	18,750	\$ 2.3204	D	Â
Stock Option	Â (6)	02/15/2016	Common Stock	18,750	\$ 2.88	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pappa Kenneth 2711 CITRUS RD RANCHO CORDOVA, CA 95630	Â	Â	Â VP, Engineering/Manufacturing	Â

Signatures

/s/ Kenneth Pappa 10/19/2011

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares vest in three equal installments on July 29, 2012, 2013 and 2014.
- (2) The option vests in three equal installments on January 30, 2010, 2011 and 2012.
- (3) The option vests in three equal installments on July 30, 2010, 2011 and 2012.
- (4) The option vests in three equal installments on February 8, 2011, 2012 and 2013.
- (5) The option vests in four equal installments on June 10, 2011, 2012, 2013 and 2014.
- (6) The option vests in four equal installments on February 15, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.