Edgar Filing: McSpadden Joseph L - Form 4

McSpadden J Form 4	oseph L									
November 29	0. 2010									
FORM	4 UNITED S	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							PPROVAL 3235-0287	
Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATEM 5. Filed pur ¹⁵ Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Expires: January 31 2005 Estimated average burden hours per response 0.5		
(Print or Type R	esponses)									
1. Name and Ad McSpadden	ddress of Reporting l Joseph L	Symbol	er Name and IE & CO I			7	5. Relationship of Issuer	Reporting Pers		
(Last) 55 WATER			of Earliest Tra Day/Year) 2010	ansaction			Director X Officer (give below)	10%	o Owner er (specify	
			nendment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
NEW YORK	K, NY 10041						Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip) Tab	le I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securit on(A) or Dis (D) (Instr. 3, 4 Amount	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	11/24/2010		D	13,339	D	<u>(1)</u>	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to buy Common Stock	\$ 6.515	11/24/2010		D	12,500	(2)	12/08/2016	Common Stock	12,500
Option to buy Common Stock	\$ 4.045	11/24/2010		D	20,000	(3)	12/09/2015	Common Stock	20,000

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Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting o when runne / runness	Director	10% Owner	Officer	Other			
McSpadden Joseph L 55 WATER STREET, 11TH FLOOR NEW YORK, NY 10041			President, MC				
Signatures							
Joseph L. McSpadden by Scott spitzer	11/29/2010						

Attorney

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the merger agreement with R.R. Donnelley & Sons, all shares of common stock of the issuer were surrendered at the price of (1) \$11.50 per share
- Incentive Stock Options granted under the Company's 1999 Incentive Compensation Plan. Options were to become exercisable in 25% (2)increments on the first four anniversaries of the grant date of 12/09/2009.
- Non-Qualified Stock Options granted under the Company's 2000 Stock Incentive Plan. Options were to become exercisable in 25% (3) increments on the first four anniversaries of the grant date of 12/10/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.