Edgar Filing: INTERSOUTH PARTNERS VI LP - Form 4

INTERSOUTH PARTNERS VI LP

Form 4 April 29, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to Section 16.

Number: January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per 0.5 response...

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad Intersouth As		-	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	ALIMERA SCIENCES INC [ALIM] 3. Date of Earliest Transaction	(Check all applicable)			
C/O INTERSOUTH PARTNERS, 406 BLACKWELL STREET, SUITE 200		CKWELL	(Month/Day/Year) 04/27/2010	DirectorX 10% Owner Officer (give titleX Other (specibelow) May be part of 13(d) group			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person			
DURHAM, N	NC 27701-3	3984		_X_ Form filed by More than One Reporting Person			

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owne	d

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	04/27/2010		C	824,679	A	<u>(1)</u>	824,679	I	See footnote (2)
Common Stock	04/27/2010		C	560,934	A	<u>(3)</u>	1,385,613	I	See footnote (2)
Common Stock	04/27/2010		C	576,859	A	(3)	1,962,472	I	See footnote (2)
Common	04/27/2010		P	90,909	A	\$ 11	2,053,381	I	See

Stock footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock	<u>(1)</u>	04/27/2010		C	824,679 (4)	<u>(5)</u>	<u>(6)</u>	Common Stock	824,679
Series B Preferred Stock	(3)	04/27/2010		C	560,934 (4)	(5)	<u>(6)</u>	Common Stock	560,934
Series C Preferred Stock	(3)	04/27/2010		C	576,859 (4)	(5)	<u>(6)</u>	Common Stock	576,859

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Intersouth Associates VI, LLC C/O INTERSOUTH PARTNERS 406 BLACKWELL STREET, SUITE 200 DURHAM, NC 27701-3984		X		May be part of 13(d) group		
Mumma Mitch C/O INTERSOUTH PARTNERS 406 BLACKWELL STREET, SUITE 200 DURHAM, NC 27701-3984		X		May be part of 13(d) group		
Dougherty Dennis C/O INTERSOUTH PARTNERS 406 BLACKWELL STREET, SUITE 200		X		May be part of 13(d) group		

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DURHAM, NC 27701-3984

INTERSOUTH PARTNERS VI LP C/O INTERSOUTH PARTNERS 406 BLACKWELL STREET, SUITE 200 DURHAM, NC 27701-3984

X May be part of 13(d) group

Signatures

/s/ Mitch Mumma

_**Signature of Reporting Person

Date

/s/ Dennis Dougherty

**Signature of Reporting Person

Date

Date

/s/ Mitch Mumma, Intersouth Partners VI, L.P., By: Intersouth Associates VI, LLC, Its
General Partner, By: Mitch Mumma, Title: Member Manager

04/29/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 781,092 shares of Series A Preferred Stock automatically converted into 824,679 shares of common stock immediately prior to the closing of the Issuer's initial public offering, for no additional consideration.
 - The reportable securities are owned directly by Intersouth Partners VI, L.P. ("IP VI"). Intersouth Associates VI, LLC ("IA VI, LLC") is the general partner of IP VI. IA VI, LLC disclaims beneficial ownership of these securities and this report shall not be deemed an admission that IA VI, LLC is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the
- (2) extent of its pecuniary interest therein. Each of Mitch Mumma ("Mumma") and Dennis Dougherty ("Dougherty") are the member managers of IA VI, LLC. Each of Mumma and Dougherty disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any of them is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of their pecuniary interest therein.
- (3) Each outstanding share of preferred stock automatically converted into 1 share of common stock immediately prior to the closing of the Issuer's initial public offering, for no additional consideration.
- (4) Reflects a 3.4-for-1 reverse stock split, effective as of immediately prior to the effectiveness of the Registration Statement on Form S-1 (File No. 333-162782).
- (5) Immediately.
- (6) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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