VIACELL INC Form 3/A January 26, 2005

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

C/O VIACELL, INC., 245

Person \*

Gunter Kurt C

FIRST STREET

(Last)

(First)

(Street)

(Middle)

Statement

(Month/Day/Year)

01/19/2005

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

VIACELL INC [VIAC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 01/19/2005

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below)

Sr VP Clinic & Regulatory

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CAMBRIDGE, MAÂ 02142

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form:

Table I - Non-Derivative Securities Beneficially Owned

Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Date

Expiration

Title

Amount or Number of

Shares

Derivative Security

Direct (D) or Indirect (I)

(Instr. 5)

Employee Stock Option (Right to Buy)  $\hat{A} \stackrel{(1)}{=} 06/08/2011 \stackrel{Common}{Stock} 40,000 $0.95$  D  $\hat{A}$ 

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gunter Kurt C

C/O VIACELL, INC. 245 FIRST STREET Â Â Â Sr VP Clinic & Regulatory Â

CAMBRIDGE, MAÂ 02142

# **Signatures**

/s/ Kurt C. 01/26/2005 Gunter

\*\*Signature of Date Reporting Person

#### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vests and becomes exercisable based on satisfaction of performance objectives. None of the performance objectives have been achieved thus far.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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