Edgar Filing: BOWNE & CO INC - Form 5

BOWNE & CO INC Form 5 February 14, 2003

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

## FORM 5

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

O Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction 1(b). O Form 3 Holdings Reported X Form 4 Transactions Reported

1. Name and Address of Reporting

Person\* 2. Issuer Name and Ticker or Trading

Symbol 3. I.R.S. Identification Number of Reporting

Person, if an entity (Voluntary) Kucera, Philip, E.

(Last) (First) (Middle) Bowne & Co., Inc. (BNE)

Bowne & Co., Inc., 345 Hudson Street

4. Statement for Month/Year 5. If Amendment, Date of Original (Month/Year) 12/31/2002

(Street) 6. Relationship of Reporting Person(s)

to Issuer (Check All Applicable) 7. Individual or Joint/Group Reporting

(Check Applicable Line) New York, NY 10014

(City) (State) (Zip) O Director O 10% Owner x Form filed by One Reporting Person x Officer (give title below) O Form filed by More than One Reporting Person O Other (specify below) Sr. VP & Gen. Couns.

\* If the form is filed by more than one reporting person, see instruction 4(b)(v).

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of

Security

(Instr. 3) 2. Transaction

Date

(Month/Day/Year) 2A. Deemed Execution

Date, if any

(Month/Day/Year) 3. Transaction

Code

(Instr. 8) 4. Securities Acquired (A)

or Disposed of (D)

(Instr. 3, 4 and 5) 5. Amount of Securities

Beneficially Owned at the End of Issuer's

Fiscal Year

(Instr. 3 and 4) 6. Ownership

Form:

 $Direct\left( D\right) or$ 

Indirect (I)

(Instr. 4) 7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Amount (A)

or

(D) Price

Common Stock, Par Value \$.01 per Share

V

20,910 (1) D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative

Security

(Instr. 3) 2. Conversion or Exercise

**Price of Derivative** 

**Security 3. Transaction Date** 

(Month/Day/Year) 3A. Deemed Execution

Date, if any

(Month/Day/Year) 4. Transaction Code

(Instr. 8) 5. Number of Derivative Securities

Acquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)

(A) (D)

Options to Purchase Common Stock \$10.580000 12/19/2002 A 23,000

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned - Continued
(e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and

**Expiration Date** 

(Month/Day/Year) 7. Title and Amount of

**Underlying Securities** 

(Instr. 3 and 4) 8. Price of Derivative

Security

(Instr. 5) 9. Number of Derivative

**Securities Beneficially** 

Owned at End of

Year

(Instr. 4) 10. Ownership of

**Derivative Security:** 

Direct (D)

or Indirect (I)

(Instr. 4) 11. Nature of

Indirect Beneficial

Ownershi

Ownership

(Instr. 4)

Date

**Exercisable Expiration** 

Date Title Amount or

Number of

Shares

(2) 12/19/2012 Common Stock 23,000 (2) 23,000 D

#### **Explanation of Responses:**

(1) The number of shares of Common Stock beneficially owned as of the date reported including the number of deferred stock units credited to the Reporting Person under Company plans, as permitted under applicable SEC rules, and shares held in the Company's Employee Stock Purchase Plan. (2) Non-Qualified stock options, granted under the Company's 1999 Incentive Compensation Plan, becoming exercisable in 50% increments on the two subsequent anniversaries of the grant.

Philip E. Kucera 2/14/2003

\*\*Signature of Reporting Person

Date

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<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.