

Edgar Filing: REGENCY AFFILIATES INC - Form 4

REGENCY AFFILIATES INC

Form 4

April 08, 2003

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Hasson	Neil	N.
(Last)	(First)	(Middle)

c/o Hyde Park Holdings, Inc.  
595 Madison Avenue 35th Floor

(Street)

New York,	New York	10022
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Regency Affiliates, Inc. (RAFI.OB)

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Day/Year

4/4/03

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

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☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chief Financial Officer and Secretary

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

(Over)

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8) ----- Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) or (D)	5. Amount Sec Ben Own Rep Tra (In and
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(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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### Explanation of Responses:

/s/ Neil N. Hasson	4/5/03
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**Signature of Reporting Person	Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
 Criminal Violations.

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See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, see Instruction 6 for procedure.

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