#### Edgar Filing: HAGEDORN KATHERINE LITTLEFIELD - Form 4

#### HAGEDORN KATHERINE LITTLEFIELD

Form 4 May 09, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HAGEDORN KATHERINE

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LITTLEFIELD

SCOTTS MIRACLE-GRO CO [SMG]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

\_X\_\_ 10% Owner \_\_ Other (specify

C/O THE SCOTTS MIRACLE-GRO 05/05/2011

COMPANY, 14111 SCOTTSLAWN ROAD

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MARYSVILLE, OH 43041

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3,	` ′		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	05/05/2011		M	14,277	A	\$ 19.82	14,277	D	
Common Shares	05/05/2011		S	562	D	\$ 57.23	13,715	D	
Common Shares	05/05/2011		S	1,000	D	\$ 57.24	12,715	D	
Common Shares	05/05/2011		S	400	D	\$ 57.25	12,315	D	
	05/05/2011		S	100	D	\$ 57.26	12,215	D	

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Common Shares								
Common Shares	05/05/2011	S	200	D	\$ 57.27	12,015	D	
Common Shares	05/05/2011	S	3,300	D	\$ 57.5	8,715	D	
Common Shares	05/05/2011	S	100	D	\$ 57.505	8,615	D	
Common Shares	05/05/2011	S	700	D	\$ 57.51	7,915	D	
Common Shares	05/05/2011	S	300	D	\$ 57.52	7,615	D	
Common Shares	05/05/2011	S	600	D	\$ 57.53	7,015	D	
Common Shares	05/05/2011	S	2,738	D	\$ 57.55	4,277	D	
Common Shares	05/05/2011	S	2,877	D	\$ 57.6	1,400	D	
Common Shares	05/05/2011	S	500	D	\$ 57.61	900	D	
Common Shares	05/05/2011	S	200	D	\$ 57.62	700	D	
Common Shares	05/05/2011	S	300	D	\$ 57.63	400	D	
Common Shares	05/05/2011	S	400	D	\$ 57.64	0	D	
Common Shares	05/05/2011	S <u>(1)</u>	15,121	D	\$ 57.31	3,355,248	I	HPLP (2)
Common Shares	05/06/2011	S <u>(1)</u>	40,887	D	\$ 57.62	3,314,361	I	HPLP (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

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	Derivative Security			(D) (Inst	or Disposed of (D) (Instr. 3, 4, and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 19.82	05/05/2011	M			14,277	07/28/2002	01/27/2012	Common Shares	14,277

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HAGEDORN KATHERINE LITTLEFIELD C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041	X	X					

# **Signatures**

Kathy L. Uttley as attorney-in-fact for Katherine Hagedorn
Littlefield 05/09/2011

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the sale for the account of Katherine Littlefield of a portion of her proportionate interest in the Issuer's common shares (the "Common Shares") held by the Hagedorn Partnership, L.P.
- Pursuant to Exchange Act Rule 16a-1(a)(1), the Reporting Person may be deemed, solely for purposes of determining whether she is a (2) beneficial owner of more than 10% of the Common Shares, to be the beneficial owner of the securities of the Issuer that are held by Hagedorn Partnership, L.P., a Delaware limited partnership in which the Reporting Person is a general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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