Davis Jerome L Form 4/A October 18, 2012

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Davis Jerome L Issuer Symbol

APOGEE ENTERPRISES, INC. (Check all applicable) [APOG]

(Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner \_ Other (specify Officer (give title (Month/Day/Year) below)

6831 BAY HILL DRIVE 09/28/2012

> (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 10/02/2012

Form filed by More than One Reporting Person

LAKEWOOD RANCH, FL 34202

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		(D)	Price	(Instr. 3 and 4)		
Common Stock	09/28/2012(7)		M	1,186 (7)	A	\$ 15.05	22,022	D	
Common Stock	09/28/2012		S	1,186	D	\$ 20.0167 (2)	20,836 (1)	D	
Common Stock	10/01/2012(7)		M	8,125 (7)	A	\$ 15.05	28,961	D	
Common Stock	10/01/2012(8)		M	7,375	A	\$ 11.26	36,336	D	
Common Stock	10/01/2012		S	15,500	D	\$ 19.9543	20,836 (11)	D	

**OMB APPROVAL** 

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January 31,

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## Edgar Filing: Davis Jerome L - Form 4/A

(9) (10)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Option (Right to Buy)	\$ 15.05	09/28/2012(7)		M		1,186 (7)	(3)	06/28/2016	Common Stock	1,186
Phantom Stock Units	\$ 0 (5)	09/28/2012		A	763		<u>(4)</u>	<u>(4)</u>	Common Stock	763
Phantom Stock Units	\$ 0 (5)	09/28/2012		A(6)	138		<u>(4)</u>	<u>(4)</u>	Common Stock	138
Director Option (Right to Buy)	\$ 0	10/01/2012		M		8,125 <u>(7)</u>	(3)	06/28/2016	Common Stock	8,125
Director Option (Right to Buy)	\$ 0	10/01/2012(8)		M		7,375	(3)	06/22/2014	Common Stock	7,375

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
	X						

Reporting Owners 2

Davis Jerome L 6831 BAY HILL DRIVE LAKEWOOD RANCH, FL 34202

## **Signatures**

/s/ Patricia A. Beithon, Attorney-in-Fact for Jerome L. Davis

10/18/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock awards under the 2009 Non-Employee Director Stock Incentive Plan.
  - The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$20.00 to \$20.02.
- (2) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.
- (3) Currently 100% exercisable.
  - The phantom stock units were allocated under the Deferred Compensation Plan for Non-Employee Directors. The units of phantom
- (4) stock will be settled in shares of common stock following the director's termination from the Board in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.
- (5) Settled 1-for-1.
- (6) Units acquired pursuant to a dividend equivalent reinvestment feature of the Deferred Compensation Plan for Non-Employee Directors.
- (7) Earlier report incorrectly reflected entire exercise of options for 9,311 shares; amendment reflects split of option exercise amounts and dates as reported herein.
- (8) Transaction date previously reported as 9/28/12.
  - The price reported is the weighted average purchase price for the transactions reported. The prices paid ranged from \$19.95 to \$19.98.
- (9) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares purchased at each price within the range.
- (10) Amended to reflect late reporting of sale described herein.
- (11) Reflects adjustment of shares directly held.

#### **Remarks:**

#### THIS FORM IS AMENDED TO REFLECT A CHANGE IN OPTION EXERCISE AS NOTED IN FOOTNOTE 7, A CHAN

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3