HORIZON PHARMA, INC.

Form 3 July 28, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement HORIZON PHARMA, INC. [HZNP] **NGN BIOMED** (Month/Day/Year) OPPORTUNITY I GMBH & 07/28/2011 CO. BETEILIGUNGS KG (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O HORIZON PHARMA. (Check all applicable) INC., 1033 SKOKIE BLVD., **SUITE 355** _X__ 10% Owner Director Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Form filed by One Reporting Person NORTHBROOK, ILÂ 60062 _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock $D^{(1)}$ 51,731 Â Common Stock 71,556 $D^{(2)}$ Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

Edgar Filing: HORIZON PHARMA, INC. - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Series A Preferred Stock	(3)	(3)	Common Stock	401,927	\$ <u>(3)</u>	D (1)	Â
Series A Preferred Stock	(3)	(3)	Common Stock	555,956	\$ <u>(3)</u>	D (2)	Â
Series B Preferred Stock	(3)	(3)	Common Stock	44,364	\$ <u>(3)</u>	D (1)	Â
Series B Preferred Stock	(3)	(3)	Common Stock	61,366	\$ <u>(3)</u>	D (2)	Â
Convertible Promissory Notes	(4)	(4)	Common Stock	805,856.5 (4)	\$ <u>(4)</u>	D (1)	Â
Convertible Promissory Notes	(4)	(4)	Common Stock	114,665.38 (4)	\$ <u>(4)</u>	D (2)	Â

Reporting Owners

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
NGN BIOMED OPPORTUNITY I GMBH & CO. BETEILIGUNGS KG C/O HORIZON PHARMA, INC. 1033 SKOKIE BLVD., SUITE 355 NORTHBROOK, IL 60062	Â	ÂX	Â	Â		
NGN BioMed Opportunity I L P C/O HORIZON PHARMA, INC. 1033 SKOKIE BLVE., SUITE 355 NORTHBROOK, IL 60062	Â	ÂX	Â	Â		

Signatures

/s/ See Exhibit
99.1

**Signature of Reporting Person

O7/28/2011

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Securities held in the name of NGN Biomed Opportunity I GmbH & Co. Beteiligungs KG.
- (2) Securities held in the name of NGN Biomed Opportunity I, L.P.

Reporting Owners 2

Edgar Filing: HORIZON PHARMA, INC. - Form 3

- The shares of Series A Preferred Stock and Series B Preferred Stock have no expiration date and are convertible at any time at the election of the holder. Every 2.374 shares of preferred stock will automatically convert into one share of common stock upon the closing of the Issuer's initial public offering.
- Principal and accrued interest will convert upon the Issuer's initial public offering into shares of Common Stock at a conversion price equal to the lesser of (i) the public offering price of the Common Stock sold in the Issuer's initial public offering, or (ii) \$18.92. Amounts shown are reported in US dollars and include interest accrued through the date hereof; additional interest will accrue prior to conversion.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.