

CVS HEALTH Corp  
Form 4  
February 17, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Roberts Jonathan C

(Last) (First) (Middle)

ONE CVS DRIVE

(Street)

WOONSOCKET, RI 02895-

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CVS HEALTH Corp [CVS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/16/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP & President, CVS Caremark

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	02/16/2017		M	61,196 A \$ 36.23	89,955.1293	D	
Common Stock	02/16/2017		S <sup>(1)</sup>	61,196 D \$ 80	28,759.1293	D	
Common Stock (pep)					11,702.5082	D	
Common Stock (restricted)					60,753	D	
ESOP Common					5,319.994	I	By ESOP

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Stock

Stock Unit

113,725.4939 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option	\$ 36.23	02/16/2017		M	61,196	04/01/2011 <sup>(2)</sup>	04/01/2017	Common Stock	61
Phantom Stock Credits	\$ 1					<sup>(3)</sup>	<sup>(3)</sup>	Common Stock	1,410
Stock Option	\$ 34.96					04/01/2012 <sup>(4)</sup>	04/01/2018	Common Stock	85
Stock Option	\$ 45.07					04/02/2013 <sup>(5)</sup>	04/02/2019	Common Stock	77
Stock Option	\$ 54.53					04/01/2014 <sup>(6)</sup>	04/01/2020	Common Stock	68
Stock Option	\$ 74.29					04/01/2015 <sup>(7)</sup>	04/01/2021	Common Stock	73
Stock Option	\$ 45.93					09/04/2015 <sup>(8)</sup>	09/04/2022	Common Stock	108
Stock Option	\$ 102.26					04/01/2016 <sup>(9)</sup>	04/01/2022	Common Stock	68
Stock Option	\$ 104.82					04/01/2017 <sup>(10)</sup>	04/01/2023	Common Stock	161

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

