Edgar Filing: Wallace Richard John - Form 4

Wallace Rid Form 4	chard John								
June 22, 20	18								
FORM		CTATES SI	ECUDITIES A	ND EVCHANC	GE COMMISSION	т	PPROVAL		
		STATES SI	Washington,	OMB Number:	3235-0287				
Check t			, asington,			Expires:	January 31,		
if no lor subject Section Form 4	to SIAIEN 16.	IENT OF C	OWNERSHIP OF	Estimated a burden hou response	irs per				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type	Responses)								
1. Name and Address of Reporting Person <u>*</u> Wallace Richard John			2. Issuer Name and /mbol /MUNOGEN I	-	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (1		Date of Earliest Tr		(Check all applicable)				
1578 SE BALLANTRAE COURT			5/20/2018		X Director Officer (give below)	Officer (give title Other (specify			
	(Street)		If Amendment, Da led(Month/Day/Year	-	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person 				
PORT ST.	LUCIE, FL 34952	2			Form filed by M Person	More than One R	eporting		
(City)	(State)	(Zip)	Table I - Non-D	Perivative Securities	s Acquired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	Code Year) (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	Securities I Beneficially (Owned (6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
D . I D	() ()	C 1 1	Code V		ce				
Reminder: Report on a separate line for each class of securities				Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.					
			G	·					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and Expiration	7. Title and Amour
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Date	Underlying Securit
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

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	Derivative Security				or Dispose (D) (Instr. 3, 4 and 5)					
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amc or Num of Sł
Deferred Share Unit	\$ 0 <u>(1)</u>	06/20/2018	А		4,000		09/01/2018(3)(2)	<u>(2)</u>	Common Stock (2)	4,0
Stock option (right to buy)	\$ 11.21	06/20/2018	А		18,000		09/01/2018 <u>(4)</u>	06/20/2028	Common Stock	18,

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Wallace Richard John 1578 SE BALLANTRAE COURT PORT ST. LUCIE, FL 34952	Х						
Signatures							
/s/ Craig Barrows, attorney in fact	06/20/2018						

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The deferred share units were issued pursuant to the Issuer's Compensation Policy for Non-Employee Directors and are convertible into (1) Common Stock on a one-to-one basis.
- The vested deferred share units are to be settled 100% in shares of Common Stock of the Company upon the reporting person's retirement (2) from the Board of Directors.
- The deferred share units vest quarterly on 9/1/18, 12/1/18, 3/1/19 and 6/1/19, contingent upon the individual remaining a director as of (3) each vesting date.
- Exercisable as to 4,500 shares on 9/1/18, 12/1/18, 3/1/19 and 6/1/19, contingent upon the individual remaining a director as of each (4) vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.