CHASE CORP Form 4/A September 15, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

10% Owner

0.5

Estimated average burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading
CHASE ADAM
Symbol
CHASE CORP [CCF]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(First) (Middle) 3. Date of Earliest Transaction
(Month/Day/Year) \_\_X\_ Director

\_\_X\_ Director
\_\_X\_ Officer (circ

295 UNIVERSITY AVE. 09/01/2016 \_\_X\_ Officer (give title \_\_\_ Other (specify below) President/CEO

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

O9/06/2016

Applicable Line)

\_X\_ Form filed by One Reporting Person

\_ Form filed by More than One Reporting

Person

WESTWOOD, MA 02090

Stock

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) (Instr. 3, 4 and 5) Owned (D) or (Instr. 8) Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Chase Corporation 1.845 09/01/2016 \$0 262,554 D Α Common (1)Stock Chase Corporation 7,768 09/01/2016 \$0 270,322 D A Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (Right to Buy)	\$ 64.37	09/01/2016		A	5,596	(2)	09/01/2026	Common Stock	5,596
Options (Right to Buy)	\$ 64.37	09/01/2016		A	23,563	08/31/2019	09/01/2026	Common Stock	23,563

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
CHASE ADAM 295 UNIVERSITY AVE. WESTWOOD, MA 02090	X		President/CEO			

### **Signatures**

Paula Myers by power of attorney 09/15/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock vesting on August 31, 2019.
- (2) The option vests in three equal annual installments beginning on August 31, 2017.
- (3) Represents restricted stock vesting on August 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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