Edgar Filing: Quirk Steven M. - Form 4

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| Form 4 | | | | | | | | | | | |
|---|--|---|--------------------------------|---|---|----------------|---------------|---|--|--|--|
| November 21 FORM | 4 UNITED S | | | | | | | | | | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b). | Filed purs Filed purs Section 17(a | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(k) of the Investment Company Act of 1940 | | | | | | | | Expires:January 31 2005Estimated average burden hours per response0.5 | |
| (Print or Type Ro | esponses) | | | | | | | | | | |
| Quirk Steven M. Symbol | | | | [·] Name and Ticker or Trading ERITRADE HOLDING AMTD] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Mor | | | (Month/Da | . Date of Earliest Transaction Month/Day/Year) 1/20/2018 | | | | Director 10% Owner X Officer (give title Other (specify below) below) EVP, Trader Group | | | |
| | | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| OMAHA, NI | | | | | | | | Form filed by M Person | More than One Ro | eporting | |
| (City) | (State) (| Zip) | Table | e I - Non-De | erivative S | ecuri | ties Ace | quired, Disposed of | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Executio any | med n Date, if Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, | (A) o of (D |) | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 11/20/2018 | | | Code V A | Amount 394 | (D) A | Price \$ 0 | (Instr. 3 and 4) 87,735 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3. | ; | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|--|---------------------|--------------------|--|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Quirk Steven M. 200 S. 108TH AVE. OMAHA, NE 68154 | | | EVP, Trader C | Group | | | | |
| Signatures | | | | | | | | |
| /s/ Brenna M. O'Connor, as atto Quirk | 11/21/2018 | | | | | | | |
| <u>**</u> Signature of Rep | | Date | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.